

Account Opening Form for Non-Individual Client (Equity & Commodity)



MONARCH
NETWORK CAPITAL

PREPARATION FOR A BETTER TOMORROW



No.:

Client Code:

Branch Name/Code:

BO ID:

Date of Activation:



FP_B

ATTENTION

Professional Clearing Member :	Monarch Network Capital Limited (MNCL)		
NSE F&O : Self clearing	SEBI Regn. Nos. :-		
	NSE Cash (CM)	}	INZ 000008037 dt.15.07.2015
	NSE Derivatives (F&O)		
	NSE Currency Derivatives (CD)		
	BSE Cash (CM)		
	BSE Derivatives (F&O)		
	BSE Currency Derivatives (CD)		
	MCX	}	IN-DP-278-2016 dt. 21.07.2016
	NCDEX		
NSE/BSE – CD : Self clearing	CDSL DP - 35000		
MCX/ NCDEX : Self clearing	NSDL DP - IN303052		
	MFSS Segment - AMFI	-	ARN-8812 dt. 05.08.2010

This information is the sole property of Monarch Network Capital Limited and would not be disclosed to anyone unless) (required by law or except with express permission of client

Compliance Officer Name : Mr. Nikhil Parikh ● Tel No. 079-26666500 ● Email id: compliance@mnclgroup.com

Managing Director Name : Mr. Vaibhav J. Shah ● Tel No. 079-26666500 ● Email id: compliance@mnclgroup.com

For any grievance / dispute please contact Monarch Network Capital Limited (MNCL) at email id- grievances@mnclgroup.com or Tel. No. 079-26666500. In case not satisfied with the response, please contact the concerned exchange(s) for NSE for - ignse@nse.co.in or 022-26598190 / BSE- is@bseindia.com or 022-22728097 / MSEI - customerservice@msei.in or 022-61129009, MCX For Grievance@mcxindia.com or 022-67318888, NCDEX for IG@ncdex.com or 022-66406789

KNOW YOUR CLIENT (KYC) APPLICATION FORM**INDEX - PART-A****MANDATORY DOCUMENTS AS PRESCRIBED BY SEBI UNDER NOTIFICATION NO. CIR/MIRSD/16/2011 (22.08.2011)**

S. No.	Name of the Document	Brief Significance of the Document	Pg. Nos.
1.	Know Your Client or Account Opening Form	KYC Form - Document captures basic information about the constituent (for Individuals)	1-4
2.	Trading & Demat Account Details	Details of Constituent pertaining to bank account / Depository / Past actions.	5
3.	Brokerage Tariff Details	Document detailing the rate/amount of brokerage and other charges levied on the constituent for trading on the Stock Exchange(S)	6
4.	Declaration for Demat/Trading	Declaration Acceptance For Demat Account	7
5.	DIS Booklet	Option Form For Issue of Dis Booklet	8
6.	BO Nomination Form	Details pertaining to Beneficiary Owner	9
7.	Schedule of Charges (BO Account)	Tariff details pertaining to BO Account	10
8.	SMS Alert Facility	Terms & Conditions - cum Registration / Modification for receiving SMS Alerts from CDSL/NSDL	A4

VOLUNTARY DOCUMENTS AS PROVIDED BY THE STOCK BROKER

1.	Undertaking	Undertaking for E-Mail Id/ Mobile Number - NSDL	A4
2.	Running Account Authorization	Authorization letter to maintain constituents account on Running Account basis.	A4
3.	FATCA Non-Individual	Account opening form suppliment	21
4.	Voluntary documents	Containing Clause (1-29) Voluntary documents pertaining to non-mandatory documentation duly signed by me.	13-14
5.	Demat Debit and Pledge Instruction (DDPI)	DDPI to nominate, constitute and appoint Member for DP operations, pledging with clearing corporation etc.	25-26

ACKNOWLEDGEMENT

Date : _____

Monarch Network Capital Limited

Unit No. 803-804A, 8th Floor, X-Change Plaza,
Block No. 53, Zone 5, Road- 5E, Gift City,
Gandhinagar-382355, Gujarat

Dear Sir,

This has reference to necessary Know Your Client Form containing basic information, additional information and other documents executed by me. I hereby acknowledge receipt of following documents from you:-

S.No.	Particulars (Mandatory as prescribed by Exchange)	Page Nos.
1.	Documents containing mandatory Rights and Obligations of Beneficial Owner and Depository Participant as prescribed by SEBI and Depositories	1-4
2.	Documents containing voluntary Rights & Obligations of stock broker, Authorized Person and client forming part of documents as mentioned in clause 1 above.	5-11
3	Internet & wireless technology based trading facility provided by stock brokers to client	12-13
4.	Risk Disclosure Documents.	14-19
5.	Document detailing do's and don'ts for trading on exchange, for the education of the investors.	20-22
6.	Policy and Procedures document. (Voluntary as prescribed by Member Broker)	23-30
7.	Policies And Procedures For Client Dealings – All Exchanges -	31-32
8.	Brief Writeup on the provisions of prevention of money laundering Act 2002 (PMLA) which constituent needs to know.	33
9.	Authorisation For Debiting Various Depository Charges Authorisation For Adjustment Of Amounts/ Balances And Retaining Of Funds	34
10	Risk discloser and other documents related to commodity segment	
S.No.	Particulars (Voluntary as prescribed by Member Broker)	Page Nos.
1.	Fema Declaration	35

I state that I have read and understood all above documents which are available at www.mnclgroup.com; further I have read and understood MNCL Risk Policy available on website on the link (https://sales.mnclgroup.com/MNCL-LMS/pnp/Risk_Management_Policy_V3.pdf) for voluntary freezing of online access of client & GTD/GTC orders , and these documents are binding upon me.

Thanking you,

Signature **X 1** _____ **X** _____ **X** _____
 Sole/First Holder/Guardian/
 Authorised Signatory Second Holder /
 Authorised Signatory Third Holder /
 Authorised Signatory

INSTRUCTIONS/CHECK LIST FOR FILLING KYC FORM

- All details to be filled in Capital Block letters in Black / Blue ink only.
- Email id & Mobile number is mandatory for account opening and trading operations.
- Correction in KYC must be counter signed.
- Strike off whichever option, in the account opening form is not applicable.
- All original proofs must be produced for physical verification
- Please produce translated copy, if identity proofs are in regional language
- Sole Proprietor account will be opened in the individual name & capacity only
- Name & Address of the applicant mentioned in KYC form, should be matching with documentary identity proof submitted.
- All documentary proofs submitted should be self-attested
- Some additional documents may be required for opening account in Derivative segment.

For detailed instructions & checklist, please refer to instruction available on our website www.mnclgroup.com.



EM_MOB_B

MONARCH
NETWORK CAPITAL**UNDERTAKING FOR E-MAIL ID/ MOBILE NUMBER FOR TRADING & DEMAT**

To,

Monarch Network Capital Limited

"Monarch House", Opp. Ishwar Bhuvan,

Nr. Commerce Six Road, Navrangpura, Ahmedabad – 380009

I/We request you to add below mention Email Id / Mobile Number in my Demat Account with you. Kindly provide the Statement of Account (SOA) including transaction statement and holdings statement through email.

Please tick as per applicability	
<input type="checkbox"/>	E-mail ID I request you to update my E-mail ID as below in my Trading/Demat account for all future communication
	E-mail ID
<input type="checkbox"/>	Mobile Number
	I request you to update my Mobile Number as below in my Trading/Demat account for all future communication.
	Mobile Number
I declare that all the above information is true and all future demat account communication can be sent on above E-mail ID/ Mobile Number.	
I hereby declare that the aforesaid E-mail ID belongs to <input type="checkbox"/> Me or <input type="checkbox"/> My family (spouse, dependent children and dependent parents). Relationship with the applicant _____	
I hereby declare that the aforesaid Mobile No. belongs to <input type="checkbox"/> Me or <input type="checkbox"/> My family (spouse, dependent children and dependent parents). Relationship with the applicant _____	
SMS Alert Facility	<input type="checkbox"/> First Holder <input type="checkbox"/> Second Holder <input type="checkbox"/> Third Holder
Refer to Terms & Conditions on our Website	Mandatory, if you are giving Power of Attorney (POA) If POA is not granted & you do not wish to avail of this facility, cancel this option. <input type="checkbox"/> Yes <input type="checkbox"/> No

Signature **X 2** _____ **X** _____ **X** _____

Sole/First Holder/Guardian/
Authorised Signatory Second Holder /
Authorised Signatory Third Holder /
Authorised Signatory

Running Account Authorization

I/We request you to maintain my/our accounts for funds on running accounts basis instead of 'bill to bill' settlement basis, unless I/We specifically request you for a payout of available free funds in the account. You may settle the accounts at

- ☐ Monthly
☐ Quarterly

(Tick mark whichever is applicable, else the option will be considered as Quarterly) or at such other intervals as SEBI/Stock Exchanges may specify from time to time. I/We further authorize you to retain funds as may be permitted by Stock Exchanges/ SEBI from time to time or towards other unbilled service and/or charges applicable on my account, while settling the accounts. I/We understand and agree that no interest will be payable on the amount of funds retained by you as above. I/we agree that MNCL shall not be liable for any claim for loss or loss of profit or for any consequential, incidental, special or exemplary damages, or otherwise, caused by retention of such funds. The standing instruction/authorization for maintaining my/our account as running account shall remain valid until revoked in writing, addressed to you.


Signature **X 3** _____
Authorised Signatory

Date : _____

Place : _____



Regd Office : Unit No. 803-804A, 8th Floor, X-Change Plaza, Block No. 53, Zone 5, Road- 5E, Gift City, Gandhinagar -382355, Gujarat
Tel: 079-26666500 • Fax: 079-2666599 • Website : www.mnclgroup.com
Corp. Office: Monarch House, Nr. Ishwar Bhuvan, Commerce Cross Rd, Navrangpura, Ahmedabad-380009
Tel: 079-26666500 • Fax: 079-2666599 • E-mail: helpdesk@mnclgroup.com
Processing Center: 301-302, Arunachal Building, Barakhamba Road, New Delhi-110001

<p>Know Your Client (KYC) Application Form (For Individuals Only) Please fill the form in ENGLISH and in BLOCK letters Fields marked * are mandatory Fields marked + are pertaining to CKYC and mandatory only if processing CKYC also</p>	<div style="text-align: center;">  <p>CDSL VENTURES LIMITEDExploring New Horizons</p> </div> <p>Application Type*: <input type="checkbox"/> New KYC <input type="checkbox"/> Modification KYC</p>
<p>KYC Mode*: Please Tick (✓) <input type="checkbox"/> Normal <input type="checkbox"/> EKYC OTP <input type="checkbox"/> EKYC Biometric <input type="checkbox"/> Online KYC <input type="checkbox"/> Offline EKYC <input type="checkbox"/> Digilocker</p>	
<p>1. Identity Details (please refer guidelines overleaf)</p>	
<p>PAN* _____ Please enclose a duly attested copy of your PAN Card</p> <p>Name* (same as ID proof) _____</p> <p>Maiden Name+ (if any) _____</p> <p>Fathers/Spouse's Name* _____</p> <p>Date of Birth* _____</p> <p>Gender* <input type="checkbox"/> Male <input type="checkbox"/> Female <input type="checkbox"/> Transgender</p> <p>Marital Status* <input type="checkbox"/> Single <input type="checkbox"/> Married</p> <p>Nationality* <input type="checkbox"/> Indian <input type="checkbox"/> Other _____</p> <p>Residential Status* <input type="checkbox"/> Resident Individual <input type="checkbox"/> Non Resident Indian</p> <p>Please Tick (✓) <input type="checkbox"/> Foreign National <input type="checkbox"/> Person of Indian Origin+ X 4</p> <p style="font-size: small;">(Passport mandatory for NRIs and Foreign Nationals. PIO selection is only for CKYC and not for KRA KYC. Select NRI or Foreign National based on Nationality of the individual)</p> <div style="border: 1px solid #ccc; padding: 10px; margin-top: 10px; text-align: center;"> <p>Recent passport size Applicant Photo</p> <p style="font-size: small;">Cross Signature across photograph</p> </div>	
<p>Proof of Identity (POI) submitted for PAN exempted cases (Please tick)</p> <p><input type="checkbox"/> A – Aadhaar Card XXXX XXXX _ _ _ _</p> <p><input type="checkbox"/> B – Passport Number _____ (Expiry Date) _____</p> <p><input type="checkbox"/> C – Voter ID Card _____ (Expiry Date) _____</p> <p><input type="checkbox"/> D – Driving License _____ (Expiry Date) _____</p> <p><input type="checkbox"/> E – NREGA Job Card _____</p> <p><input type="checkbox"/> F – NPR _____</p> <p><input type="checkbox"/> Z – Others _____ (any document notified by Central Government)</p> <p>Identification Number _____</p>	
<p>2. Address Details* (please refer guidelines overleaf)</p>	
<p>A. Correspondence/ Local Address*</p> <p>Line 1* _____</p> <p>Line 2 _____</p> <p>Line3 _____</p> <p>City/Town/Village* _____ District+ _____ Pin Code* _____</p> <p>State* _____ Country* _____</p> <p>Address Type* <input type="checkbox"/> Residential/Business <input type="checkbox"/> Residential <input type="checkbox"/> Business <input type="checkbox"/> Registered Office <input type="checkbox"/> Unspecified</p>	
	<p>Applicant e-SIGN</p>

**B. Permanent residence address of applicant, if different from above A / Overseas Address***

(Mandatory for NRI Applicant)

Line 1* _____

Line 2 _____

Line3 _____

City/Town/Village* _____ District+ _____ Pin Code* _____

State* _____ Country* _____

Address Type* ☐ Residential/Business ☐ Residential ☐ Business ☐ Registered Office ☐ Unspecified**Proof of Address*** (attested copy of any 1 POA for correspondence and permanent address each to be submitted)☐ A – Aadhaar Card XXXX XXXX _ _ _ _☐ B – Passport Number _____ (Expiry Date) _____☐ C – Voter ID Card _____☐ D – Driving License _____ (Expiry Date) _____☐ E – NREGA Job Card _____☐ F – NPR _____☐ Z – Others _____ (any document notified by Central Government)

Identification Number _____

3. Contact Details* (in CAPITAL)

Email ID* _____

Mobile No. * _____

Tel (Off) _____ Tel (Res) _____

4. Applicant Declaration

I/We hereby declare that the KYC details furnished by me are true and correct to the best of my/our knowledge and belief and I/we under-take to inform you of any changes therein, immediately. In case any of the above information is found to be false or untrue or misleading or misrepresenting, I am/We are aware that I/We may be held liable for it.

I/We hereby consent to receiving information from CVL KRA through SMS/Email on the above registered number/Email address.

I am/We are also aware that for Aadhaar OVD based KYC, my KYC request shall be validated against Aadhaar details. I/We hereby consent to sharing my/our masked Aadhaar card with readable QR code or my Aadhaar XML/Digilocker XML file, along with passcode and as applicable, with KRA and other Intermediaries with whom I have a business relationship for KYC purposes only.

DATE : _____ (DD-MM-YYYY)

PLACE : _____

Applicant e-SIGN

Applicant Wet Signature

X 5**5. For Office Use Only**

In-Person Verification (IPV) carried out by*

Intermediary Details*

IPV Date _____

Emp. Name _____

Emp. Code _____

Emp. Designation _____

☐ Self certified document copies received (OVD)☐ True Copies of documents received (Attested)

AMC / Intermediary Name :

Employee Signature and Stamp

Institution Name and Stamp



NIKYC1_F



MONARCH
NETWORK CAPITAL

Regd Office :

Unit No. 803-804A, 8th Floor, X-Change Plaza, Block No. 53,

Zone 5, Road- 5E, Gift City, Gandhinagar-382355, Gujarat

Tel : 022-3064 1600 Fax : 022-2685 0257

Website : www.mnclgroup.com

Corp. Office: Monarch House, Nr. Ishwar Bhuvan, Commerce Cross Rd, Navrangpura, Ahmedabad-380009

Tel: 079-26666500 • Fax:079-2666599 • E-mail: helpdesk@mnclgroup.com

Processing Center: 301-302, Arunachal Building, Barakhamba Road, New Delhi-110001

Application No. _____

Client Code issued _____

KNOW YOUR CLIENT (KYC) APPLICATION FORM - Non-IndividualsPlease fill this form in **English** and in **Block Letters****IDENTITY DETAILS****Name of the Applicant** _____Affix recent
passport size
photograph
sign across
the face

X

Date of Incorporation	D	D	M	M	Y	Y	Y	Y	Place of Incorporation	
------------------------------	---	---	---	---	---	---	---	---	-------------------------------	--

Date of commencement of business:	D	D	M	M	Y	Y	Y	Y	
--	---	---	---	---	---	---	---	---	--

PAN									Regn. No. (e.g. CIN)								
------------	--	--	--	--	--	--	--	--	-----------------------------	--	--	--	--	--	--	--	--

Status (please tick any one)

- | | | | | | |
|--|--|---|--|--|------------------------------------|
| <input type="checkbox"/> Private Limited Co. | <input type="checkbox"/> Public Ltd. Co. | <input type="checkbox"/> Body Corporate | <input type="checkbox"/> Partnership | <input type="checkbox"/> Trust | <input type="checkbox"/> Charities |
| <input type="checkbox"/> NGO's | <input type="checkbox"/> FI | <input type="checkbox"/> FII | <input type="checkbox"/> HUF | <input type="checkbox"/> AOP | <input type="checkbox"/> Bank |
| <input type="checkbox"/> Government Body | <input type="checkbox"/> Non-Government Organization | | <input type="checkbox"/> Defense Establishment | | <input type="checkbox"/> BOI |
| <input type="checkbox"/> Society | <input type="checkbox"/> LLP | <input type="checkbox"/> Qualified Foreign Investor | <input type="checkbox"/> Mutual Fund | <input type="checkbox"/> Others (please specify) _____ | |

ADDRESS DETAILS

Address for Correspondence:				Registered Address : (If different from Correspondence):			
City		PIN		City		PIN	
State		Country		State		Country	

CONTACT DETAILS

Tel. Off.		Tel. Resi.		Fax	
Mobile		Email			
Specify the proof submitted for Correspondence Address			Specify the proof submitted for Registered Address		

OTHER DETAILS**Name, PAN, residential address and photographs of Promoters/Partners/Karta/Trustees and whole time directors:** (In case of additional list of directors separate sheet should be used)

Name		Name		Name	
Residence Address		Residence Address		Residence Address	
PAN No.		PAN No.		PAN No.	
DIN/UID No.		DIN/UID No.		DIN/UID No.	
Photograph	Affix recent passport size photograph	Photograph	Affix recent passport size photograph	Photograph	Affix recent passport size photograph
Signature					



NIKYC2_B

MONARCH
NETWORK CAPITAL**DECLARATION**

I/We hereby declare that the details furnished above are true and correct to the best of my our knowledge and belief and I/we undertake to inform you of any changes therein, immediately. In case any of the above information is found to be false or untrue or misleading or misrepresenting. I am/we are aware that I/we may be held liable for it.

X _____

Name and Signature of the Authorised Signatory (ies)Date

D	D	M	M	Y	Y	Y	Y
---	---	---	---	---	---	---	---

IN PERSON VERIFICATION DONE BY

Sr. No.	Particulars	
1.	<input type="checkbox"/> Originals verified and Self-Attested Document copies received.	
2.	In-Person-Verification (IPV) details :	
	a)	Name of the person doing IPV/Employee/SB/AP
	b)	Designation
	c)	Name of Organisation
	d)	Signature
	e)	Date
Name & Signature of the Authorised Signatory		Seal/Stamp of the intermediary
Date		

TRADING / DEMAT ACCOUNT RELATED DETAILS

MNCL IS ENGAGED IN PRO TRADING AND AS A MARKET MAKER ON BSE-SME SEGMENT

FUNDS PAYOUT THROUGH NATIONAL ELECTRONIC FUND TRANSFER (NEFT)

I /We _____ through NEFT. My bank details are as given below for your records. request you to release my / our funds payout

Bank Name															
Bank Address															
Account Number															
Account Type	Saving <input type="checkbox"/>					Current <input type="checkbox"/>					Others(please specify)				
IFSC Code															
MICR Code															

I /We hereby declare that the above mentioned details are correct & the Monarch Network Capital Limited or any of their group companies shall not be held responsible for any kind of wrong transfer due to incorrect information given by me / us. Please provide cancelled cheque leaf for MICR & IFSC Code.

DEPOSITORY ACCOUNT(S) DETAILS

DP Name															
DP ID															
BO Client ID															
Depository	NSDL / CDSL														
DP Address															

PAST ACTIONS

Details of any action/proceedings initiated/pending/ taken by SEBI/ Stock exchange/ any other authority against the applicant/constituent or its Partners/promoters/ whole time directors/authorized persons in charge of dealing in securities during the last 3 years:	<input type="checkbox"/> Yes <input type="checkbox"/> No if Yes: _____
--	---

DEALINGS THROUGH AUTHORIZED PERSONS AND OTHER STOCK BROKERS

If client is dealing through the Authorized Person, provide the following details:

Authorized Person's Name:			
Registered office address:	NSE SEBI Regn. No.:		
	BSE SEBI Regn. No.:		
	Tel.:		
	Fax:		
	Website :		

Whether dealing with any other stock broker/Authorized Person (in case dealing with multiple stock brokers/Authorized Persons, provide details of all)

Name of stock broker:			
Name of Authorized Person, if any:			
Client Code:			
Exchange:			
Details of disputes/dues pending from/to such stock broker/sub- broker:			

ADDITIONAL DETAILS

● Whether you wish to receive physical contract note or Electronic Contract Note (ECN) (please specify):	<input type="checkbox"/> Electronic Contract Note <input type="checkbox"/> Physical
● Specify your Email id, if applicable:	
● Whether you wish to avail of the facility of internet trading/ wireless technology (please specify):	<input type="checkbox"/> Yes <input type="checkbox"/> No
● Number of years of Investment/Trading Experience:	
● Any other information:	



IN_BRO_F

MONARCH
NETWORK CAPITAL**INTRODUCER DETAILS**

Name of the Introducer	
Branch / SB / RM / Employee Code	
Status of the Introducer:	<input type="checkbox"/> Authorized Person <input type="checkbox"/> Existing Client <input type="checkbox"/> Employee <input type="checkbox"/> Others, please specify _____
Address and Tel No of the Introducer	
Group Code	
Introducer's Signature	

OTHER DETAILS**IN CASE OF INDIVIDUAL & NON INDIVIDUAL****Gross Annual Income Details (please specify): Income Range per annum: (₹ in lacs)**

<input type="checkbox"/> Below ₹ 1 Lac	<input type="checkbox"/> ₹ 1 to ₹ 5 Lac	<input type="checkbox"/> ₹ 5 to ₹ 10 Lac	<input type="checkbox"/> ₹ 10 to ₹ 25 Lac
<input type="checkbox"/> Above ₹ 25 Lac	<input type="checkbox"/> ₹ 25 to ₹ 1 crore	<input type="checkbox"/> Above ₹ 1 crore	

OR

Net-worth as on date		₹	(Net worth should not be older than 1 year)			
Occupation (please tick any one and give brief details):	<input type="checkbox"/> Private Sector	<input type="checkbox"/> Public Sector	<input type="checkbox"/> Government Service	<input type="checkbox"/> Business	<input type="checkbox"/> Student	
	<input type="checkbox"/> Professional	<input type="checkbox"/> Agriculturist	<input type="checkbox"/> Retired	<input type="checkbox"/> Housewife	<input type="checkbox"/> Others	
Please tick, if applicable:	<input type="checkbox"/> Politically Exposed Person (PEP)		<input type="checkbox"/> Related to a Politically Exposed Person (PEP)			
Any other information :						

TRADING PREFERENCES

Please sign in the relevant boxes where you wish to trade. Please strike off the segment not chosen by you.

Exchanges	NSE, BSE			MCX, NCDEX	
All Segments	Cash / Mutual Fund	F&O	Currency	Commodity	Derivatives
x 6	x 6	x 6	x 6	x 6	x 6

If you do not wish to trade in any of segments / Mutual Fund, please mention here _____.

BROKERAGE TARIFF DETAILS**GENERAL BROKERAGE RATES**

Mention on percentage or paisa very clearly	Trading /Intra-day First leg- Second leg	Minimum (Mention on percentage or paisa)	Delivery (CM) / Carry forward (F&O)	Minimum (Mention on percentage or paisa)	Minimum (per Options contract)
CM Segment	0.03 %	0.03 Paisa	0.30 %	0.03 Paisa	N.A.
F&O Segment	0.03 %	0.03 Paisa	—	—	₹ 100/-
Currency	0.03 %	0.03 Paisa	—	—	₹
MCX & NCDEX	0.03 %	0.03 Paisa	0.30 %	0.10 Paisa	₹ 200/-

SPECIAL BROKERAGE RATES

Mention on percentage or paisa very clearly	Trading /Intra-day First leg- Second leg	Minimum (Mention on percentage or paisa)	Delivery (CM) / Carry forward (F&O)	Minimum (Mention on percentage or paisa)	Minimum (per Options contract)
CM Segment					
F&O Segment					
Currency					
MCX & NCDEX					

Note : 1. The above rates are exclusive of Stamp Duty, Securities Transaction Tax and GST which will be charged extra at the rate prevailing from time to time. Further it is exclusive of Exchange Transaction. 2. The General Rates as mentioned here shall be applied unless the Special Rates as may be agreed by the Client and the same are mentioned above. 3. For Internet Based Trading (IBT) clients, additional charges with regard to software access etc. will be applicable as per the terms agreed at relevant point in time. (4) Please refer levies & charges structure on our website : www.mnclgroup.com

X 7 _____
Signature

DECLARATION ACCEPTANCE FOR TRADING ACCOUNT

1. I/We here by declare that the details furnished above are true and correct to the best of my/our knowledge and belief and I/We undertake to inform you of any changes therein, immediately. In case any of the above information is found to be false or untrue or misleading or misrepresenting, I/We am/are aware that I/We may be held liable for it.
2. I/We confirm having read/been explained and understood the contents of the document on policy and procedures of the stock broker and the tariff sheet.
3. I/We further confirm having read and understood the contents of the 'Rights and Obligations' document(s), 'Risk Disclosure Document' and 'Guidance Note' with 'Policy and Procedures'. I/We do hereby agree to be bound by such provisions as outlined in these documents. I/We have also been informed that the standard set of documents has been displayed for Information on stock broker's designated website, if any.

DECLARATION ACCEPTANCE FOR DEMAT ACCOUNT

The rules and regulations and Bye laws of the Depository and Depository Participants pertaining to an account which are in force now have been read by me/us and I/We have understood the same and i/we agree to abide by and to be bound by the rules, regulations and bye laws as are in force from time to time for such accounts, I/We hereby declare that the details furnished above are true and correct to the best of my/our knowledge and belief and I/we undertake to inform you of any changes therein, immediately. In case any of the above information is found to be false or untrue or misleading or misrepresenting. I am / we are aware that I/We may be held liable for it. In case non-resident account, I/We also declare that I/We have complied and will continue to comply with FEMA regulations. I/We acknowledge the receipt of copy of the document, "Rights and Obligations of the Beneficial Owner and Depository Participant".

Sole/First Holder	Name (s) of holder(s)	Signature(s) of holder
Sole/First Holder/Guardian/ Authorised Signatory (in case sole holder is minor) (Mr./Mrs.)		X 8
Second Holder/ Authorised Signatory (Mr./Mrs.)		X
Third Holder/ Authorised Signatory (Mr./Mrs.)		X

Mode of Operations for Sole/First Holder (In case of Joint holdings, all the holders must sign)

☐ Any one singly
 ☐ Jointly by
 ☐ As per resolution
 ☐ Others (please specify)

Notes: Please refer notes on our i.e. www.mnclgroup.com regarding declaration acceptance for demat account.

FATCA / CRS DECLARATION / SELF CERTIFICATION FOR INDIVIDUAL

Client Name		First Holder	Second Holder	Third Holder
1	Are you Indian Resident Person? (Refer Risk Disclosure document At www.mnclgroup.com)	<input type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input type="checkbox"/> No
2	Specify country of residence for tax purpose (if above clause is no)			
3	Specify Tax Identification No./ Others			

Note : if you are a U.S. person and / or if your tax residency / nationality / citizenship is other than India, than please provide declaration / self certification under FATCA / CRS. This declaration form can be downloaded from www.mnclgroup.com in or call at KYC Helpdesk at (079) 26666500 or write to helpdesk@mnclgroup.com

X 9 _____

(Client Signature)

Date _____



TOA_B

MONARCH
NETWORK CAPITAL

I / We request you to open a demat account in my/our name as per the following details :

<input type="checkbox"/>	NSDL
<input type="checkbox"/>	CDSL

Holders Details

Sole / First Holder's Name	
Second Holder's Name	
Third Holder's Name	

Type of Account - Individual (Please tick whichever is applicable)

<input type="checkbox"/> Individual	<input type="checkbox"/> Individual Resident <input type="checkbox"/> Individual HUF / AOP	<input type="checkbox"/> Minor <input type="checkbox"/> Other (specify) _____
<input type="checkbox"/> NRI	<input type="checkbox"/> NRI Repatriable <input type="checkbox"/> NRI Non- Repatriable <input type="checkbox"/> Other (specify) _____	
<input type="checkbox"/> Foreign National	<input type="checkbox"/> Foreign National <input type="checkbox"/> Foreign National - Depositor Receipts <input type="checkbox"/> Other (specify) _____	

Guardian Details (where sole holder is a minor):

[For account of a minor, two KYC Application Forms must be filled i.e. one for the guardian and another for the minor (to be signed by guardian)]

Guardian Name	
---------------	--

PAN		Relationship of guardian with minor
-----	--	-------------------------------------

I/We instruct the DP to receive each and every credit in my/ our account (If not marked, the default option would be 'Yes')	Automatic Credit <input type="checkbox"/> Yes <input type="checkbox"/> No
--	--

Account to be operated through Power of Attorney	<input type="checkbox"/> Yes <input type="checkbox"/> No
--	--

I/We would like to instruct the DP to accept all the pledge instructions in my/our account without any other further instruction from my/our end (If not marked, the default option would be 'No')	<input type="checkbox"/> Yes <input type="checkbox"/> No
--	--

Account Statement Requirement	<input type="checkbox"/> As per SEBI Regulation <input type="checkbox"/> Daily <input type="checkbox"/> Weekly <input type="checkbox"/> Fortnightly <input type="checkbox"/> Monthly
-------------------------------	--

I / We request you to send Electronic Transaction-cum-Holding Statement at the email ID _____	<input type="checkbox"/> Yes <input type="checkbox"/> No
--	--

I / We would like to share the email ID with RTA	<input type="checkbox"/> Yes <input type="checkbox"/> No
--	--

I / We would like to receive the Annual Report <input type="checkbox"/> Physical / <input type="checkbox"/> Electronic (Tick the applicable box. If not marked the default option would be in Physical)
--

OPTION FORM FOR ISSUE OF DIS BOOKLET

☐ **OPTION 1*:** I / We require you to issue Delivery Instruction Slip (DIS) booklet to me / us immediately on opening my / our CDSL / NSDL Account though I / We have issued a Power of Attorney (POA) / executed PMS Agreement in favour of / with Monarch Network Capital Limited (Name of Attorney / Clearing Member / PMS Manager) for executing Delivery Instructions for setting Stock Exchange trades [Settlement related Transactions] effected through such Clearing Member / by PMS Manager.

OR

☐ **OPTION 2*:** I / We do not require the Delivery Instruction Slip (DIS) for the time being, since I / We have issued a POA / executed PMS Agreement in favour of / with Monarch Network Capital Limited (Name of Attorney / Clearing Member / PMS Manager) for executing Delivery Instructions for setting Stock Exchange trades [Settlement related Transactions] effected through such Clearing Member / by PMS Manager. However, the Delivery Instruction Slip (DIS) booklet should be issued to me / us immediately on my / our request at any later date.

*Client has to pay DIS Book Issuance charge i.e. ₹ 100/- for each DIS Booklet on & after Account Opening.

Given DIS Book Issuance charge is exclusive of GST

Yours Faithfully,

Signature	X 10 _____	X _____	X _____
	Sole/First Holder/Guardian/ Authorised Signatory	Second Holder / Authorised Signatory	Third Holder / Authorised Signatory

NOMINATION FORM

Monarch Network Capital Limited Monarch House, Opp. Ishwar Bhuvan, Nr. Commerce Six Road, Navrangpura, Ahmedabad – 380009				FORM FOR NOMINATION <i>(To be filled in by individual applying singly or jointly)</i>			
Date D D M M Y Y Y Y	UCC/ DP ID 		Client ID 				
I/We wish to make a nomination. [As per details given below]							
Nomination Details I/We wish to make a nomination and do hereby nominate the following person(s) who shall receive all the assets held in my / our account in the event of my / our death.							
Nomination can be made upto three nominees in the account.		Details of 1st Nominee		Details of 2nd Nominee		Details of 3rd Nominee	
1. Name of the nominee(s) (Mr./Ms.)							
2. Share of each Nominee		Equally [If not equally, please specify percentage]		%		%	
		Any odd lot after division shall be transferred to the first nominee mentioned in the form.					
3. Relationship With the Applicant (If Any)							
4. Address of Nominee(s) City / Place: State & Country:							
		Pincode 		Pincode 		Pincode 	
5. Mobile / Telephone No. of nominee(s)							
6. Email ID of nominee(s)							
7. Nominee Identification details – [Please tick any one of following and provide details of same] <input type="checkbox"/> Photograph & Signature <input type="checkbox"/> PAN <input type="checkbox"/> Aadhaar <input type="checkbox"/> Saving Bank account no. <input type="checkbox"/> Proof of Identity <input type="checkbox"/> Demat Account ID							
Sr. Nos. 8-14 should be filled only if nominee(s) is a minor:							
8. Date of Birth {in case of minor nominee(s)}							
9. Name of Guardian (Mr./Ms.) {in case of minor nominee(s)}							
10 Address of Guardian(s) City / Place: State & Country:							
		Pincode 		Pincode 		Pincode 	
11. Mobile / Telephone no. of Guardian							
12. Email ID of Guardian							
13. Relationship of Guardian with nominee							
14. Guardian Identification details – [Please tick any one of following and provide details of same] <input type="checkbox"/> Photograph & Signature <input type="checkbox"/> PAN <input type="checkbox"/> Aadhaar <input type="checkbox"/> Saving Bank account no. <input type="checkbox"/> Proof of Identity <input type="checkbox"/> Demat Account							
Name(s) of holder(s)				Signature(s) of holder*			
Sole / First Holder (Mr./Ms.)							
Second Holder (Mr./Ms.)							
Third Holder (Mr./Ms.)							

* Signature of witness, along with name and address are required, if the account holder affixes thumb impression, instead of signature

Note:

This nomination shall supersede any prior nomination made by the account holder(s), if any.

The Trading Member / Depository Participant shall provide acknowledgement of the nomination form to the account holder(s)



DP TARIFF SHEET

Scheme Particulars	<input type="checkbox"/> M19	<input type="checkbox"/> M21	<input type="checkbox"/> M22	<input type="checkbox"/> M24
	Yearly AMC	DEPOSIT*	LTF 999	BSDA**
Annual Maintenance Charges	₹ 500/- (p.a.)	NO AMC Deposit ₹ 2,500/- (Refundable)	₹ 999/- (One Time AMC - For Ten Year)	BSDA0 –NIL BSDA1 – ₹ 100/-p.a.
Account Administration Charges	₹ 175/-	NIL	NIL	NIL
Transfer(Credit)	NIL	NIL	NIL	NIL
Transfer (Debit) Market Transfer MNCL Pool (Per Transaction)	₹ 25/- or 0.025% (Whichever is higher)	₹ 15/-	₹ 15/- or 0.020% (Whichever is higher)	₹ 25/- or 0.025% (Whichever is higher)
Market/Off Market Transfer (Per Transaction)	₹ 30/- or 0.030% (Whichever is higher)	₹ 40/- or 0.040% (Whichever is higher)	₹ 30/- or 0.030% (Whichever is higher)	₹ 30/- or 0.030% (Whichever is higher)
Common Tariff applicable to all Scheme				
Demat	₹ 5/- Per Certificate with min ₹ 40/- Per request + ₹ 45/- Courier Charges per request			
Remat	₹ 45/- Postage Charges + ₹ 10/- Per 100 Securities / ₹ 20/- Per Certificate whichever is higher, Maximum 500000/-			
Margin Pledge in favour of TM/CM	₹ 12/- Per Instruction In favour of MNCL ₹ 25/- Per Instruction In favour of other than MNCL			
MTF Pledge Creation	₹ 25/- Per Instruction			
Pledge Creation	₹ 50/- Per Instruction			
Pledge Closure / Invocation	₹ 25/- Per Instruction			

Notes:

- ◆ Yearly AMC will be charged on pro rata basis from the month of Account opening. Subsequently, It will be charged annually in the month of April for next financial year.
- ◆ For Corporate Account AMC ₹ 1,500/- p.a. will be charged and other charges will be as per **M19** Scheme.
- ◆ Deposit amount Refundable on closure of account after deducting AMC charges (AMC charges levied as per **M19** Scheme)*
- ◆ ₹ 50/- will be charged towards per Demat/Remat rejection case.
- ◆ Client has to pay DIS Book Issuance charge i.e. ₹ 100/- for each DIS Booklet on & after Account Opening.
- ◆ ₹ 20/- per Inter Settlement, Pool to Pool charges for pay out shares received in MNCL Pool account will be charged.
- ◆ It is advisable to do at least one Trade within one month from Account opening date.
- ◆ We reserve the rights to change/add charges with 30 days prior notice by E-mail/Post.
- ◆ GST will be charged extra as per regulation.

BSDA*

I/We are aware that my regular Demat Account will be converted to BSDA as per SEBI circular No. SEBI/HO/MIRSD/MIRSD-PoD1/P/CIR/2024/91 dated 28th June 2024 at the end of the billing cycle if the same is eligible for the BSDA as per SEBI guidelines. However, in case I/we are not eligible to avail BSDA facility from the BSDA scheme, my/our demat account will be treated as a regular demat account and a yearly AMC scheme will be applied.

DP ID

Client ID

Signature **X 12** _____ **X** _____ **X** _____
Sole/First Holder/Guardian/
Authorised Signatory Second Holder /
Authorised Signatory Third Holder /
Authorised Signatory

DECLARATION BY HUF

Name : _____

Address : _____

Date : _____

To,
Monarch Network Capital Limited
Unit No. 803-804A, 8th Floor, X-Change Plaza,
Block No. 53, Zone 5, Road- 5E, Gift City,
Gandhinagar-382355, Gujarat

Dear Sir,

We, the undersigned members of _____

(HUF) are desirous of opening a Trading / Demat Account in the above-mentioned name with you. The said account will be operated by _____ (Karta) on behalf of _____ (HUF). We hereby undertake that all the transactions undertaken by the said HUF shall be binding not only on the present members of the said joint family (both adults and minors) but also on all future members thereof (both adults and minors) and all persons entitled to a share therein and ourselves personally.

Also that whenever any change occurs in the management or in the constitution of the said joint family of whatsoever nature, we undertake to give notice thereof to you.

Sr. No.	Name of Member	Designation	Relationship	Date of Birth	Signature
1.		Karta			
2.		Co-Parcener			
3.		Co-Parcener			
4.		Co-Parcener			
5.		Co-Parcener			
6.		Co-Parcener			
7.		Co-Parcener			

Thanking you,

Yours faithfully,

X _____

(HUF Stamp & Sign)



NI_AUTHO_B



MONARCH
NETWORK CAPITAL

IN CASE OF NON-INDIVIDUAL

Authority Letter in favour of an Authorised Representative

Relationship of Account holder with the proposed authorised representative/mandate holder:

_____ (mention on Blood relation / Relative / Friend)

I/We, maintaining a broking account with Monarch Network Capital Limited (MNCL) hereby authorise _____

_____ aged _____ years, S/o. / Spouse of _____

and having office / residence address at _____

_____ to operate my /our broking account.

My/our relationship with the above mentioned authorised representative is personal / professional. MNCL is authorised to accept orders for execution and instructions towards settlement of funds & securities, oral or in writing, in my/our account from the above mentioned authorised representative. I/We agree to honour all obligations arising out of such orders and instructions from the representative including any penal charges or dues consequent to any actions of the representative in the course of operating the broking account.

I/We hereby accept that confirmation by MNCL to the representative including delivery of contract notes, bills, ledgers and other general information necessitated in carrying out operations in the trading account shall be treated as effective and valid discharge of MNCL's obligation to keep me/us posted on the transactions and operations in the trading account.

I/We further state that this authorisation is given by me/us purely out of our choice of convenience. I/We shall not make any claim whatsoever upon MNCL for MNCL standing guided by this authorisation in favour of the above mentioned representative.

Photograph
of authorised
representative

X _____
(Signature of the Constituent)

I accept the mandate

(Signature of authorised representative)

Place _____

Date _____

Encl:

- 1] Mandatory ID proof or PAN Card of the authorised representative
- 2] Address proof of the authorised representative

**VOLUNTARY DOCUMENT ADDITIONAL CLAUSES AGREED BETWEEN STOCK BROKER AND
CLIENT AND OR AUTHORIZED PERSON FORMING PART OF RIGHTS AND OBLIGATIONS (ADDITIONAL CLAUSES)**

NOTE: The Client is required to note that the below mentioned clauses are not mandatory as per the Exchange and/or SEBI requirements. However, the same are the requirements of / from the Monarch Network Capital Limited. (hereinafter referred to as MNCL / Broker / Stock Broker / Member). However, I am / we are (herein after referred to as the client) aware that I/we may revoke any or all the clause of this voluntary document upfront before signing or later on by communicating in writing by giving at least 15 days prior notice to Broker and in such case MNCL reserves their right to take such decision as may be required in this regard. Further, this document will operate as my / our express authority in favour of MNCL and such other exchange or entities (if any) and as such I/we represent that these instructions shall equally applicable as the instructions to the Group /Associated Companies / Sister Concerns of MNCL / the Stock Broker.

1. Authorisation for adjustment of amounts/ balances and retaining and utilization of credits of funds and securities: I/We hereby authorise Monarch Network Capital Limited(hereinafter referred as MNCL) for the following with regard to my/our broking account, for transactions in the Capital market, Derivatives market (F&O) and Currency Derivative segments of NSE, BSE and MSEI where MNCL holds membership.
 - a. To effect transfer of my/our credit balances in the Capital or Cash market segment of NSE and/or BSE and/or MSEI to the ledger or credit of the Derivatives/Currency Derivatives segment of NSE and/or BSE and/or MSEI vice-versa to meet the margin and/or settlement obligations on my/our account(s) as necessitated.
 - b. To retain and utilize the securities balances so being held on my/our behalf towards deposits for making requisite trading and exposure limits available to me /us and/or towards upfront / initial margins, additional margins, and towards my/our settlement obligations including marked to market losses, if permitted at any or all exchange segments. For this purpose MNCL is authorised to transfer / pledge such securities to clearing corporations, clearing house, exchanges, clearing member and thereby derive appropriate trading & exposure benefits or realize monies on my/our behalf for adjustment towards any of the above mentioned requirements as authorised from time to time. I/We further agree that MNCL may in turn place any of the securities placed by me/us as Margin by way of pledge or hypothecation or margin on my / our behalf with exchanges, to meet my / our obligations, as MNCL may deem fit. I/We authorize MNCL to do all such acts, deeds and things as may be necessary and expedient for the above purpose.
2. I/We have read and understood risk management policy of the MNCL completely and agree to abide by it.
3. MNCL shall have the discretion on the securities acceptable and also on the ratio of cash and noncash deposits made by me/us and will be intimated to client from time to time.
4. I/We agree that no interest will be payable to me/us on the amounts or securities so retained with MNCL and also on the amounts or securities so transferred / pledged by MNCL to entities mentioned in point 1b above.
5. Authorisation for debiting charges and dues of depository: I/We hereby authorise MNCL to debit charges and dues of depository in the trading a/c maintained with MNCL for the purpose of transacting on any segment of the stock exchanges in which MNCL holds a membership.
6. The constituent shall be allowed to deposit securities as a collateral towards margins and the constituent shall be bound by the terms & conditions specified by the MNCL from time to time in this regard. The MNCL is permitted in its sole and absolute discretion to assign trading and/ or exposure limits to the constituent on such collateral. 'as the collateral transfer for margin is no more valid.
7. In the event of the sale of any Securities by the MNCL at the request of the Constituent and the inability of the MNCL to deliver to the purchaser the securities so sold by reason of the failure of the Constituent to supply the MNCL therewith then, and in such event, but subject to the provisions of applicable laws, rules and regulations, the Constituent authorises the MNCL to borrow, purchase or otherwise acquire any Securities necessary to make delivery thereof. Further, the Constituent accepts liability for any premiums which the MNCL may be required to pay, and for any losses, costs, charges, expenses or other liabilities whatsoever (as mentioned in Policies and Procedures) which the MNCL may sustain or incur by reason of the MNCL's inability to deliver the Securities in question.
8. MNCL shall not be liable for any loss sustained by the Constituent, directly or indirectly, if it is prevented from acting as a direct or indirect result of government restrictions, the imposition of emergency procedures or suspension of trading by any relevant exchange, clearinghouse or other market, civil disorder, acts or threatened acts of terrorism, natural disasters, war, strikes or other circumstances beyond its control.
9. The MNCL has relationship with one or more banks ("the relationship Bank"). The web site of the MNCL has a payment window through a link to the web site of the relation bank, which provides the facility. In such a case, the Constituent would make the payment for Securities purchased by him/her/it by crediting the purchase amount (along with the indicated brokerage amount) directly to the account of the MNCL with the relationship bank by means of a fund transfer on the pay- in date of the relevant exchange or by means of a fund transfer on the pay-in date or margin calls of the relevant Exchange on which the sale transaction is carried out. The MNCL expressly states that the payment gateway mechanism is a service offered by the Banks with whom the MNCL has established relation for facilitating the transfer of funds between the Constituent's account and the MNCL's account. The MNCL expressly excludes liability for consequential loss or damage or loss of profit, business, revenue, goodwill or anticipated saving which may arise in respect of (i) the payment gateway services offered by such bank (ii) the payment Mechanism.
10. The constituent shall not have any document/ arrangement either oral or written, directly with any employee of MNCL over and above his/ her "the Document" with MNCL.
11. I / We hereby declare that I am / We are regular investor in the stock markets in India and that I am/we are a trader / hedger in the Stocks / currency markets in India as such I am / We are conversant with the laws, practices, rules, regulations, guidelines, circulars, notifications etc. prescribed by Securities & Exchange Board of India (SEBI), All segment of National Stock Exchange of India Ltd. (NSE) and All segment of Bombay Stock Exchange Ltd. (BSE) and Metropolitan Stock Exchange of India Limite (MSEI).
12. I/We understand and agree that you can debit my accounts either for account opening charges / agreement charges / IBT software usage charges / adjustment of DP charges and/ or other charges for any additional services provided by you as per my / our written requests including but not limited to providing photocopies of KYC Forms and other annexures /documents of any of the aforesaid entities depending upon opening of my / our relevant account(s).
13. I / We further declare that I am / We are aware of the illegal practices that are prevalent in the Stock Market and I/We assure you that I/We will not indulge into the same.
14. I / We further declare that I / We will not carry out any unfair trade practices such as Synchronized Deals, Structured Deals, Circular Trading, Insider Trading in the Capital & Derivatives Market, and currency derivatives segment.
15. I / We further declare and confirm that I / We will not place any order or carry out any trades / transactions on the Exchange which will reflect as an arrangement for profit or loss transactions or cross deals. Further, all the orders placed on the Exchange through you, will be in the normal market at normal / prevailing market prices and not at unrealistic prices where there is corresponding underlying securities positions in the cash or futures segment of the Exchange. In case, any of my transactions being declared as violate to any rules / regulations / byelaws / circulars / directions / guidelines etc. of the Exchange / SEBI / SCRA, I will be solely responsible for all penalties / charges / damages etc. levied from you by the Exchange and accordingly do hereby undertake to reimburse / pay the same to you.
16. I / We further declare that as on the date of registration as your client, I / We am eligible to transact in the Stock / currency market as per applicable rules, regulations, byelaws, circulars, guidelines, etc concerned regulatory Authorities and have not been banned / restrained to transact in the securities market by any Exchange or statutory authorities and whatever permissions / prior permissions, as may be required from Government authority(ies) have been obtained by me / us. In case of any further action



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taken by the said relevant authorities, I / We shall intimate the same to you.

17. I / We declare and confirm upfront that the Securities / contracts delivered, if any, towards margin / collaterals / pay-in obligation etc. shall absolutely be free from any lien / encumbrances of whatsoever nature.
18. As regard the placement of orders, although you had insisted on written instruction for placing orders, considering the practical difficulties faced by me in complying the same, I would request you to accept orders placed by any other means other than written. I therefore confirm and acknowledge that any telephonic instruction given by me either on recording lines or else will serve the dual purpose in lieu of written instruction.
19. I / We have no objection if due to punching errors you might have to change the client codes for trade done as the circumstances may warrant, within the parameters and rules and regulations of the Exchange.
20. You being a Member disclosed that you are doing proprietary trading alongwith client Business and as such I/We have noted the same.
21. I / We specifically consented not to indulge into any off-market transactions leading to dubba-trading.
22. I / We, as a client, hereby agrees and indemnifies, and shall always keep you indemnified against all such action and / or claims, costs, charges damages, losses and expenses which may be incurred, suffered and / or sustained by you with respect to any third party cheque(s) and / or delivery of shares, which may be given by me as your client to be credited in my / our account as your client.
23. All fines / penalties and charges, exemplary damages etc. levied upon you due to my acts/deeds or transactions including illegal acts or unfair trade practices etc. as may be levied by the Exchange(s) and suffered by the Member, shall be passed on to me and recovered by you from my account either by way of debiting the same or otherwise.
24. For delayed payments / Overdue payments, I am / We are aware and agree that you may charge delayed payment charges @ 22 % p.a. or at such other rate as you determine at your absolute discretion.
25. I/We, further specifically agrees that without prejudice to the Member's other rights including the right to refer a matter to arbitration, the member shall be entitled to liquidate / close out all or any of my / our position with SMS or telephonic intimation to me/us of margins, other amount due from me /us to you as a member, an exchange, a clearing house, or other individual, partnership, corporation, company, organization, association, trust or other entity acting for or on behalf of the member, or any other outstanding debts etc. Any and all losses, financial charges and / or incidental expenses incurred by the member on account of such liquidation / closing out shall (at the discretion of the member) be reimbursed by me / us and/or Authorized Person / charged to and borne by me and/or Authorized Person / deductible by the member from the monies and / or collateral margin of mine, brokerage of the subbroker available with the member.
26. Further any investment views given by the member are market views only. Reacting on the same will not render member liable for the same. All risk will be of client only. Members do not intend to manage the portfolio of client.
27. I / We are aware of Liquidation and closing out of position effective from 1st October 2019 as per SEBI circular. CIR/HO/MIRSD/DOP/CIR/P/2019/75 and have gone through RMS policy available on www.mnclgroup.com
28. I/we are aware of running account settlement effective from 1st October 2019 as per SEBI circular. CIR/HO/MIRSD/DOP/CIR/P/2019/75 stands only for settlement for funds only.
29. REPRESENTATIONS AND WARRANTIES
 - (1) I / We agree that I / We have the required legal capacity and I am / We are authorized to enter into agreement / Rights and obligation documents and am capable of performing my obligations and undertakings pursuant to KYC Form submission and allotment of Client Code by the Stock Broker/MNCL. Also I / We hereby warrants that the terms of the presents are not in contravention of any rights of any party with whom I / We have any arrangements, at any time during and prior to the execution of this Agreement with Stock Broker/MNCL.
 - (2) All actions required to be taken to ensure compliance of all the transactions, which I/We as your client, may enter into pursuant to this Agreement with all applicable laws, shall be completed by me/us prior to such transaction being entered into.
 - (3) I / We represents and warrants to the Stock Broker / MNCL that all the information provided and statements made in the clients account application are true and correct and are not misleading (whether by reason of omission to state a material factor otherwise) and I am / We are aware that the Stock Broker / MNCL has agreed to provide the Stock Broker's / MNCL's service tome / us as a client on the basis interalia, of the statements made in client's account application.

I am / we are understand and aware that the aforesaid consents are purely voluntary and have been given to you for smoother operations of my / our client account with you as our broker. Further, these standing instructions are valid from the date the client / trading account is opened with you and will be valid and operational until revoked by me / us by giving notice in writing to your compliance officer only. Any entries / transactions and acts, deeds, things etc. carried out by broker in accordance with this authorization shall be binding on me / us.

I / We, hereby accept and agrees to the above mentioned terms and conditions and request you to kindly open my account with MNCL. In case of any breach of any terms as mentioned hereinabove, I/We, will be liable to indemnify you for all losses on accounts of the same.

X 13
Authorised Signatory

DECLARATION / CONSENT FOR TRADING IN OPTIONS

Date _____

To,
Monarch Network Capital Ltd.
Monarch House, Opp. Ishwar Bhuvan,
Near Commerce Six Road,
Navrangpura, Ahmedabad-380009

Sub :- Requesting for allowing trading in commodities options through my/our Account

[Please tick (✓) wherever applicable]

Dear Sir,

I/We below named client hereby request MNCL to allow us / me for trading in commodities options through my client account

I/We hereby request you to allow us for trading in commodity options as I/We declare that I/We abide to rules and regulations of Exchanges and SEBI, which may vary from time to time.

I/We further undertake that I/We have gone through Annexure 1 containing Risk Disclosure documents of commodities options and are fully aware of commodity option Holders and commodity option Writers.

In case of Corporates /Trust, separate Board resolution from Board of directors of Corporate/Trust is required, stating to authorize Corporate/ Trust to trade in commodities options.

In case of Firms / LLP, authorization letter from Firm/LLP is required, in which Firm / LLP authorize declare their intention to trade in commodities options.

Trading Code _____

Name of account holder _____

Signature of account holder / authorised Signatory **X 14** _____

Regd Office :

Unit No. 803-804A, 8th Floor, X-Change Plaza, Block No. 53, Zone 5, Road- 5E, Gift City, Gandhinagar-382355, Gujarat



COMECN_B

CONSENT FOR ELECTRONIC CONTRACT NOTE (ECN) - DECLARATION (VOLUNTARY)

I/We _____, Client Code _____

PAN _____, registered with you as a client of NSE & BSE in cash and Derivatives, Multi Commodity Exchange of India Ltd. (MCX), and/or National Commodity & Derivative Exchange Ltd. (NCDEX) Undertakes as follows:

I/We am/are aware that the member has to provide physical contract note in respect of all trades placed by me unless I/We myself want the same in electronic form.

- I/We am/are aware that the member has to provide electronic contract note for my convenience on my request only.
- Though the member is required to deliver physical contract note, I/We find that it is inconvenient for me to receive physical contract notes. Therefore I/We am/are voluntarily requesting for delivery of electronic contract notes pertaining to all trades carried out / Ordered by me.
- I/We have access to computer and am/are a regular internet user, having sufficient knowledge of handling email operations.
- My email id is _____ (the email id must be written in own handwriting). This has been created by me and not by someone else.
- I/We am/are aware that this declaration form should be in English or in any other Indian language known to me.
- I/We am/are aware that non-receipt of bounced mail notification by member shall amount to delivery of contract note at the above email ID.

The above declaration has been read and understood by me. I/We am/are aware of the risk involved in dispensing with the physical contract note, and do hereby take full responsibility for the same. [The email ID must be written in own hand writing of the client]

X 15 _____
Signature of Authorised Signatory

DECLARATION PURSUANT TO SEBI CIRCULAR SEBI/HO/CDMRD/DNPMP/CIR/P/2019/08 DATED 04TH JANUARY, 2019							
LIST OF COMMODITIES (MCX & NCDEX)							
COMMODITIES	CODE	COMMODITIES	CODE	COMMODITIES	CODE	COMMODITIES	CODE
Aluminum		Brass		Copper		Lead	
Nickel		Zinc		Barley		Cardamom	
Castor		Chana		Cocudaki		Cotton	
Dhaniya		Guargum		Guarseed		Jeeraunjha	
Kapas		Maize		Moong		Pady	
Pepper		RM Seed		Sugar		Soyabean	
Turmeric		Wheat		Gold		Silver	
CPO		Crude Oil		Mentha Oil		Syoref	
Natural Gas		All Commodities					

CATEGORY CODE REFERENCE TABLE AS PER SEBI						
Category	FPOs / Farmers	Value Chain Participants	Proprietary Traders (Only for registered Stock / Commodity) brokers	Domestic Financial Institutional Investors	Foreign Participants	Others
Category Code	1	2	3	4	5	6

I/We declare that the details furnished above are true and correct to the best of my knowledge and belief and I/We undertake to inform you of any changes there in immediately. In case any of the above information is found to be false or untrue or misleading or misrepresenting I/We am/are aware that I/We may be held liable for it.

 Date:

D	D	/	M	M	/	Y	Y	Y	Y
---	---	---	---	---	---	---	---	---	---

ANNEXURE - II

Date : ____/____/____

To,
Monarch Network Capital Ltd.
Unit No. 803-804A, 8th Floor, X-Change Plaza,
Block No. 53, Zone 5, Road- 5E, Gift City,
Gandhinagar-382355, Gujarat

Dear Sir,

Sub: Letter of Authority / Request to Monarch Network Capital Ltd.

I/we have opened the client / constituent account with Monarch Network Capital Ltd. (herein referred to as "MNCL") for trading / dealing in commodities on National Commodity & Derivatives Exchange Limited (NCDEX) and / or Multi Commodity Exchange of India Limited (MCX). In respect of my/our dealings / account with you, I/We hereby request / instruct and authorize MNCL to do the followings.

1. To accept verbal instructions for placement / modification / cancellation of orders and I/We expressly agree that once the trade confirmation is sent by you and / or contract note is accepted by me, there shall be no question in relation of execution or non-execution or inappropriate execution of any of my/our orders for a particular trading day.
2. To maintain running account instead of settlement of my/our dues and / or delivery of commodities on a bill to bill basis.
3. To retain the commodities / securities received by MNCL from me/us or on my/our behalf from Exchange(s) on payout or otherwise against my/our debit balance / dues / exposure / trading limits / open interest / various margin or for any other purpose as and when required by MNCL or as per any regulations of the NCDEX and / or MCX. Such retaining / holding of securities shall be construed as due compliance of the requirement of exchange(s) and SEBI. Further, MNCL has the sole discretion and authority (i) to use / transfer the above commodities / securities to the clearing corporation / clearing member / exchange(s) for the purpose of early pay-in / margin / additional base capital or for any other purpose; (ii) to dispose / sell the above securities to meet any monetary/ other dues / obligation(s) / not fulfilled by me/us towards MNCL and/ or the exchange(s).
4. To hold payout of funds / credit in my/our account and pay to me/us only to the extent demanded by me/us. To use / adjust the credit balance available from time to time in my/our account, exposure / trading limits / margin requirements / other dues.
5. To debit / credit / transfer the amounts, either on the same Exchange and / or between various segments of same exchange and / or between the Exchanges across various segments to meet my/our debit balance or various dues payable to MNCL and/ or Exchange.
6. To transfer credit/ debit balance from mark to mark to market and or premium account to margin account and vice versa.
7. I/We hereby confirm and agree that MNCL shall be entitled to set off and adjust the money, credits and securities in my/our account against my / our obligations and money payable and due to Monarch Network Capital Ltd. or any other group/ associate Company of MNCL. Similarly, the MNCL shall be entitled to recover its debit balance from the credits and securities lying in my account maintained with Monarch Network Capital Ltd. or any other group/associates Company of MNCL.

This authorisation shall be revocable at any time in writing by me only.

Thanking you.

Yours faithfully

Signature of Authorised Signatory : _____

Client Name : _____

Client Code : _____



CND_B

CONTACT NUMBER DECLARATION

I/We confirm and declare that the contact number(s) given in the KYC form or any Updation thereto (either in writing or through internet) belong to me/my relative/my acquaintance and as such authorize you to either call or send any/all information pertaining to my/our trading/demat accounts and/or any promotional material related to financial products manufactured/distributed by you or your group/associate companies (including but not limited to alerts/confirmations/passwords/research recommendations/intimation of account balances/commercial communication etc.) on the said contact numbers.

I/We further confirm that I/We will neither hold you or your service provider liable nor will institute complaint under the Telecom Commercial Communications Customer Preference (TRAI) Regulations 2010 or such other applications legislations including amendment thereof.

I/We further agree and confirm to the applicability of all Regulations/Rights and Obligations/Circulars issued by SEBI/Exchanges/Depositories and Additional Rights and Obligations pertaining to receipt of communication on contact numbers in addition to this declaration.

 Sole/First Holder/Guardian/
 Authorised Signatory

 Second Holder / Authorised Signatory

 Third Holder / Authorised Signatory

COMMODITY SPECIFIC

Client Declaration - Open Interest Position: I/We, the undersigned, have taken cognizance of circulars issued by Regulator / Commodity Exchanges from time to time on the guidelines for calculation of net open positions permitted in any commodity and I/we hereby undertake to comply with the same. I / We hereby declare and undertake that I / we will not exceed the position limits prescribed from time to time by Commodity Exchanges or Regulator and such position limits will be calculated in accordance with the circulars on position limits as modified from time to time. I/We undertake to inform you and keep you informed if I / any of our partners / directors / karta / trustee or any of the partnership firms/companies / HUFs / Trusts in which I or any of above such person is a partner / director / karta / trustee, takes or holds any position in any commodity forward contract / commodity derivative on Exchanges through or through any other member (s) or Exchanges, to enable you to restrict our position limit as prescribed by the above referred circular of Exchanges as modified from time to time. I / We confirm that you have agreed to enter order in commodity forward contracts / commodity derivatives for me / us as your clients on Commodity Exchanges only on the basis of our above assurances and undertaking. I/We further undertake to bear any liable / penalty / charges levied by Commodity Exchanges / Regulator.

One Time Declaration About High Value Transactions: I / We do hereby declare that being your client, I/We propose to undertake high value transactions (say ₹ 5 lakh and above or such other sum as may be prescribed by Regulator / MCX / NCDEX or any other authority from time to time) in a day on MCX / NCDEX through you as my/our commodity broker. By this one time declaration, please note that the said transaction(s) would be for and on my/our behalf and entirely for my./our profit or loss only. I/We also declare that the transactions would not be carried out for any unauthorized / unregistered authorized person or for and on behalf of any other person / entity.

CLIENT CATEGORY

<input type="checkbox"/> FPOs / Farmer	<input type="checkbox"/> Value Chain Participant	<input type="checkbox"/> Domestic Financial Institutional Investor
<input type="checkbox"/> Foreign Participant	<input type="checkbox"/> Others	

GSTIN Number (If Applicable) :

Annexure B Declaration Form for opting out of nomination

Date D D M M Y Y Y Y

To
Monarch Network Capital Limited
"Monarch House,"
Opp. Ishwar Bhuvan, Nr. Commerce Six Road,
Navrangpura, Ahmedabad – 380009

UCC/DP ID	I	N						
Client ID (only for Demat account)								
Sole/First Holder Name								
Second Holder Name								
Third Holder Name								

I / We hereby confirm that I / We do not wish to appoint any nominee(s) in my / our trading / demat account and understand the issues involved in non-appointment of nominee(s) and further are aware that in case of death of all the account holder(s), my / our legal heirs would need to submit all the requisite documents / information for claiming of assets held in my / our trading / demat account, which may also include documents issued by Court or other such competent authority, based on the value of assets held in the trading / demat account.

Name and Signature of Holder(s)*

1. _____ 2. _____ 3. _____

* Signature of witness, along with name and address are required, if the account holder affixes thumb impression, instead of signature



MONARCH
NETWORK CAPITAL

Regd Office :

Unit No. 803-804A, 8th Floor, X-Change Plaza, Block No. 53, Zone 5, Road- 5E, Gift City, Gandhinagar-382355, Gujarat
Tel : 022-3064 1600 Fax : 022-2685 0257 Website : www.mnclgroup.com

Corp. Office: Monarch House, Nr. Ishwar Bhuvan, Commerce Cross Rd, Navrangpura, Ahmedabad-380009
Tel: 079-26666500 • Fax:079-2666599 • E-mail: helpdesk@mnclgroup.com

Details of Promoters/ Partners/ Karta / Trustees and whole time directors forming a part of Know Your Client (KYC) Application Form for Non-Individuals

Name of Applicant _____ PAN of the Applicant

--	--	--	--	--	--	--	--	--	--

Sr. No.	PAN	Name	DIN (For Directors) / Aadhaar Number (For Others)	Residential / Registered Address	Relationship with Applicant (i.e. promoters, whole time directors etc.)	Photograph

Name & Signature of the Authorised Signatory(ies)

Date

d	d
---	---

 /

m	m
---	---

 /

y	y	y	y
---	---	---	---

Client Code _____

FATCS / CRS DECLARATION (NON-INDIVIDUALS)

1. Name of Entity: _____
2. Country of Incorporation: ☐ India ☐ US ☐ Other _____
3. Nature of Business: ☐ Manufacturing ☐ Financial Services ☐ Distribution/Retail
☐ Consultancy ☐ IT ☐ Investments ☐ Others
4. Services Provided: ☐ Forex/Money Changer Services ☐ Gaming/Gambling/Lottery Services
☐ Money Lending Pawning ☐ None of the above
5. (a) Country of Tax Residence ☐ India ☐ US ☐ Other _____
5. (b) Tax Identification No. (US TIN) or equivalent (Other): 1. _____ 2. _____
6. Whether "Specified US Person": ☐ Yes ☐ No
(Please fill up EITHER section 7 OR section 8, as the case may be)
7. Please fill up this section if entity is Non US Financial Institution (FFI):
 - a. Registered Deemed Compliant FFI ☐
(Reporting Model 1 FFI)
 - b. Participating FFI ☐

Please provide GIIN (mandatory): _____

If GIIN is not available, please select:

 - a. Owner Documented FFI with specified US owners ☐
 - b. Deemed compliant FFI (Other than above mentioned categories) ☐
 - c. Exempt Beneficial Owner ☐
 - d. Non-Participating Foreign Financial Institution ☐
 - e. Non-reporting FFI ☐
8. Please fill up this section if entity is Non Financial Entity:
 - a. Active NFFE ☐
 - b. Passive NFFE ☐
 - c. Direct Reporting NFFE _____
GIIN (mandatory if 'c' is selected)
9. Please fill below if applicable
 - a. Our company is a Listed Company listed on recognized stock exchange
 - b. Our company is a subsidiary by the Listed Company
 - c. Our company is controlled by a Listed Company

Details of Listed Company (if 2nd and 3rd option selected above):

 - a. Name of Company : _____
 - b. Stock Exchange on which listed _____

Declaration

1. I/We hereby declare that the details furnished above are true and correct to the best of my/our knowledge and belief correct and complete. In case of any change in the above given status on a future date. I/we undertake to inform Monarch Network Capital Ltd. the same within 30 days.
2. I/We agree that if we are Specified U.S. Person or tax resident of a reportable foreign jurisdiction (other than U.S.) or any entity with US Persons/ foreign tax residents as UBO requiring reporting under FATCA/CRS or any other laws, our account details, as required under Inter Governmental Agreement (IGA)/ Multilateral Competent Authority Agreement (MCAA) signed by Indian Government, would be reported by Monarch Network Capital Ltd. to the relevant tax authority.

Name : _____ Designation: _____

Signature : _____ Date: _____

For more details about FATCA, please refer US IRS website on - <http://www.irs.gov/Businesses/Corporations/Foreign-Account-Tax-Compliance-Act-Fatca>. If you are not sure about your entity's FATCA status, you are requested to contact your tax advisor.

Most Important Terms and Conditions (MITC)

(For non-custodial settled trading accounts)

1. Your trading account has a "Unique Client Code" (UCC) _____, different from your demat account number. Do not allow anyone (including your own stock broker, their representatives and dealers) to trade in your trading account on their own without taking specific instruction from you for your trades. Do not share your internet/ mobile trading login credentials with anyone else.
2. You are required to place collaterals as margins with the stock broker before you trade. The collateral can either be in the form of funds transfer into specified stock broker bank accounts or margin pledge of securities from your demat account. The bank accounts are listed on the stock broker website. Please do not transfer funds into any other account. The stock broker is not permitted to accept any cash from you.
3. The stock broker's Risk Management Policy provides details about how the trading limits will be given to you, and the tariff sheet provides the charges that the stock broker will levy on you.
4. All securities purchased by you will be transferred to your demat account within one working day of the payout. In case of securities purchased but not fully paid by you, the transfer of the same may be subject to limited period pledge i.e. seven trading days after the pay-out (CUSPA pledge) created in favor of the stock broker. You can view your demat account balances directly at the website of the Depositories after creating a login.
5. The stock broker is obligated to deposit all funds received from you with any of the Clearing Corporations duly allocated in your name. The stock broker is further mandated to return excess funds as per applicable norms to you at the time of quarterly/ monthly settlement. You can view the amounts allocated to you directly at the website of the Clearing Corporation(s).
6. You will get a contract note from the stock broker within 24 hours of the trade.
7. You may give a one-time Demat Debit and Pledge Instruction (DDPI) authority to your stock broker for limited access to your demat account, including transferring securities, which are sold in your account for pay-in.
8. The stock broker is expected to know your financial status and monitor your accounts accordingly. Do share all financial information (e.g. income, networth, etc.) with the stock broker as and when requested for. Kindly also keep your email Id and mobile phone details with the stock broker always updated.
9. In case of disputes with the stock broker, you can raise a grievance on the dedicated investor grievance ID of the stock broker. You can also approach the stock exchanges and/or SEBI directly.
10. Any assured/guaranteed/fixed returns schemes or any other schemes of similar nature are prohibited by law. You will not have any protection/recourse from SEBI/stock exchanges for participation in such schemes.
11. I have read and understood MNCL Risk Policy available on website on the link (https://sales.mnclgroup.com/MNCL-LMS/pnp/Risk_Mangement_Policy_V3.pdf) for voluntary freezing of online access of client & GTD/GTC orders, and this document are binding upon me.

Signature

1. _____	2. _____	3. _____
Sole/First Holder	Second Holder	Third Holder

Declaration for Ultimate Beneficial Ownership (UBO)

[Mandatory for all entities except listed company or subsidiary of / controlled by a listed company
AND UBO holding is more than 25% (corporate)/ 15% (entities other than corporate)]

To
MONARCH NETWORK CAPITAL LIMITED

Name of Customer: _____

☐ We hereby declare that besides the persons mentioned in the below table there are no other natural person/s who ultimately hold 25% or more of shares directly or indirectly; or exercise control/influence, whether directly or indirectly through voting rights/agreement/arrangement. (You can take Multiple copies of this page if details of more than 2 persons are to be mentioned)

Name		
Father's Name		
Gender	<input type="checkbox"/> Male <input type="checkbox"/> Female	<input type="checkbox"/> Male <input type="checkbox"/> Female
Address with city, state, postal code & country		
Birth Date		
Country of Birth		
Nationality		
US Person (Y/N)		
Country of Tax Residency		
TIN or equivalent No.		
Occupation Type	<input type="checkbox"/> Service <input type="checkbox"/> Business <input type="checkbox"/> Others	<input type="checkbox"/> Service <input type="checkbox"/> Business <input type="checkbox"/> Others
Share Holding (%)*		
PAN	<input type="checkbox"/> <input type="checkbox"/>	<input type="checkbox"/> <input type="checkbox"/>
ID Proof document submitted	<input type="checkbox"/> PAN <input type="checkbox"/>	<input type="checkbox"/> PAN <input type="checkbox"/>
Relationship with Entity	<input type="checkbox"/> Director <input type="checkbox"/> Shareholder <input type="checkbox"/> Promoter/Trustee/ Partner	<input type="checkbox"/> Director <input type="checkbox"/> Shareholder <input type="checkbox"/> Promoter/Trustee/ Partner
Address proof document submitted		
UBO Code (Please refer below point for UBI Code)	OR	

☐ We hereby declare that there are no natural person/s who ultimately hold 25% or more shares directly or indirectly; or exercise control/influence, whether directly or indirectly through voting rights/ agreement/ arrangement. Hence, there are no Controlling Persons including US or Foreign Citizens / Residents holding 25%* or more shares.

(to be signed by company secretary / partners / trustees / members as applicable)

Notes:

* Nature of Beneficial Owner

1. a. Shareholding > 25% (In case where juridical person is company) & > 15% (In case juridical person if Firm/ unincorporated association/ body of individuals/trust)
- b. Management Control. If (a) Indicate the extent of shareholding. For (b) mention the capacity in which engaged with the corporate. @ The said natural person may act alone or together, or through one or more juridical person Promoter and controls are terms as defined under Companies Act and SEBI regulations.

AUTORISE PERSON SIGN WITH COMPANY STAMP: (1) _____ (2) _____

Undertaking from Client for CD position

Date: _____

To,
Monarch Network Capital Limited,

Dear Sir,

I am client of your company and having trading code as _____ ,

I want to trade in Currency Derivative segment and I hear by confirm that I have received and understood the communication sent by you containing RBI directive regarding currency derivative segment (RBI Notification dated 5th Jan 2024 for Current Derivatives) and also NSE circular NSE/CD/61042 dated 1st April 2024.

I hear by undertake the responsibility of producing evidences / bills / invoices / or any other proof for underlying (Foreign Currency) contracted exposure whenever it is required by regulators.

I have also understood that if I fail to produce proofs for underlying contracted exposure then "it shall be deemed a violation under the aforesaid RBI notification and then I solely would be liable to any penal or regulatory action by RBI"

Regards

Client Name : _____

Client Code : _____

Signature : _____

Annexure-I: Risk disclosures

RISK DISCLOSURES ON DERIVATIVES

- 9 out of 10 individual traders in equity Futures and Options Segment, incurred net losses.
- On an average, loss makers registered net trading loss close to ₹ 50,000.
- Over and above the net trading losses incurred, loss makers expended an additional 28% of net trading losses as transaction costs.
- Those making net trading profits, incurred between 15% to 50% of such profits as transaction cost.

Source:

1. SEBI study dated January 25, 2023 on "Analysis of Profit and Loss of Individual Traders dealing in equity Futures and Options (F &O) Segment ", wherein Aggregate Level findings are based on annual Profit/Loss incurred by individual traders in equity F&O during FY 2021-22.

I state that I have read and acknowledge all above risk discloser which are available at www.mnclgroup.com and these discloser are binding upon me.

Thanking you,

Signature

1. _____ 2. _____ 3. _____
Sole/First Holder Second Holder Third Holder



SPACE FOR FRANKING

(stamped or e-stamped appropriately)

DEMAT DEBIT AND PLEDGE INSTRUCTION (DDPI)

TO ALL TO WHOM THESE PRESENTS SHALL COME TO ALL TO WHOM THESE PRESENTS SHALL COME I/we _____,
 _____ (Name of the BO, with
 full address) _____

Indian inhabitant /Non-resident hold a Beneficiary account no. as provided in Annexure-1, with Central Depository Services (India) Limited, with Monarch Network Capital Ltd.(a Depository Participant with CDSL bearing DP-ID 12035000 &/or with (A depository participant with NSDL) bearing DP-ID IN303052 & SEBI registered stock broker having SEBI registration no.INZ000008037, here by authorize Monarch Network Capital Limited(MNCL),having its registered office at Unit No. 803-804A, 8th Floor, X-Change Plaza, Block No. 53, Zone 5, Road- 5E, Gift City, Gandhinagar - 382355, Gujarat and Corporate address as Monarch House, Nr. Ishwar Bhuvan, Commerce Cross Rd, Navrangpura, Ahmedabad - 380009 and having processing center at 301-302, Arunachal Building, Barakhamba Road, New Delhi - 110001 or any of its officers of employees or any sub agents as may be delegated by said DP to carry out following exercises for me/us and on my/our behalf and in my/our name from time to time until revoked by me/us in writing given to MNCL and such revocation shall not affect the validity of any acts, deeds or things done or action taken by MNCL for discharging any of my/our settlement/margin obligations in respect of any transactions which is pending on the date of receipt of the notice of revocation by me/us.

Sr. No.	Purpose	Signature (physical signature or eSign)
1	Transfer of securities held in the beneficial owner accounts of me/us towards Stock Exchange related deliveries /settlement obligations arising out of trades executed by me/us on the Stock Exchange in any segments to the respective pool accounts of MNCL as mentioned in Annexure-2 of this instrument.	1st holder
		2nd holder Name _____ Signature X _____
		3rd holder Name _____ Signature X _____
2	Pledging / re-pledging of securities in favor of MNCL to the respective Margin Pledge accounts or margin fund pledge accounts of MNCL as mentioned in Annexure-2 of this instrument & re-pledging of securities by MNCL to Clearing Corporations for the purpose of meeting margin requirements of me/us in connection with the trades executed by me/us on the Stock Exchanges in any segments.	1st holder
		2nd holder Name _____ Signature X _____
		3rd holder Name _____ Signature X _____



3	Mutual Fund transactions being executed on Stock Exchange order entry platforms	1st holder
		2nd holder Name _____
		Signature X _____
		3rd holder Name _____
4	Tendering shares in open offers through Stock Exchange platforms	1st holder
		2nd holder Name _____
		Signature X _____
		3rd holder Name _____
		Signature X _____

LIST OF DEMAT ACCOUNT OF THE CLIENTS. Annexure – 1

Sr. No	Name of the Account Holder	DP ID	Client ID
1.			

LIST OF DEMAT ACCOUNT OF MNCL Annexure – 2

Sr. No.	Name of the Account Holder	DP ID	Client ID
1.	Monarch Network Capital Limited (CDSL BSE - Pool A/c.)	12035000	00000014
2.	Monarch Network Capital Limited (CDSL BSE- Principal A/c.)	12035000	00000029
3.	Monarch Network Capital Limited (CDSL NSE - Pool A/c.)	12035000	01114703
4.	Monarch Network Capital Limited (NSDL BSE - Pool A/c.)	IN303052	10789002
5.	Monarch Network Capital Limited (NSDL NSE - Pool A/c.)	IN303052	10788995
6.	Monarch Network Capital Limited (NSDL CMFOCD : Client Securities under margin pledge A/c.)	IN303052	10866668
7.	Monarch Network Capital Limited (CDSL CMFOCD : Client Securities under margin pledge A/c.)	12035000	01686161
8.	Monarch Network Capital Limited (NSDL MTF COL : Client Securities under margin pledge A/c.)	IN303052	10866676
9.	Monarch Network Capital Limited (CDSL MTFCOL : Client Securities under margin pledge A/c.)	12035000	01686176
10.	Monarch Network Capital Limited (NSDL MCXNCDX : Client Securities under margin pledge A/c.)	IN303052	10866684
11.	Monarch Network Capital Limited (CDSL MCXNCDX : Client Securities under margin pledge A/c.)	12035000	01686180
12.	National Stock Exchange of India Limited	NSCCL (NSDL)	IN565576
13.	National Stock Exchange of India Limited	NSCCL (CDSL)	1100001100017837
14.	Bombay Stock Exchange Limited	ICCL (NSDL)	IN620031
15.	Bombay Stock Exchange Limited	ICCL (CDSL)	1100001000020972
16.	Monarch Network Capital Ltd. (NSDL MTFBEN : Client Securities under margin funding A/c)	IN303052	10866692
17.	Monarch Network Capital Ltd. (CDSL MTFBEN : Client Securities under margin funding A/c)	12035000	01686195
18.	Monarch Network Capital Ltd. (NSDL Corporate :TM - Client Securities under margin pledge A/c)	IN303052	10866730

I/We accept (For Monarch Network Capital Limited)	
Date :	
Place :	(Authorized Signatories)/Esign

MARGIN DEPOSIT, IF ANY, BY CONSTITUENT ALONGSIDE SIGNING UP OF KYC:

Cheque Details:	Chq. No. _____ Dated _____ Amount _____ Bank _____	
Collateral Details:	1. _____	_____
	2. _____	_____

STRICTLY NO REFERENCE

Initial entries

CALL VERIFICATION	INWARD PARTICULARS	DATA ENTRY PARTICULARS	ACCOUNT ACTIVATION	BACK OFFICE MASTERS
Date _____	Date _____	Date _____	Code Allotted (all segments) _____	Date _____
Time _____	Time _____	Time _____	Date _____	Updated by _____
Sign _____		Sign _____		

PROCEDURE CHECK LIST

<input type="checkbox"/> Call verification of Client	<input type="checkbox"/> Mail sent to Client	<input type="checkbox"/> SMS sent to Client	<input type="checkbox"/> Welcome kit dispatch
--	--	---	---

Signature of Back-office Incharge / Compliance Official _____

Intimation from Branch by		Receipt mode
Document received		
Signature of Back-office Incharge		Date _____

Audited By	Original	Rejected Pursuant
Audit Date		

MUTUAL FUNDS *Sahi Hai*

Thoda Thoda Invest Karte Raho.



LP_B



MONARCH
NETWORK CAPITAL

Trade with Technology

Keep yourself updated with our Mobile Back office Support.

Trade Anytime - Anywhere

Simple & Easy

Single Trading Platform

Spread Order

Fund Payin/Holding

Backoffice Report



Ledger

Holdings

P&L Statement

Outstanding Position

Live Portfolio

Bill & Contract

Monarch Network Capital Ltd.

(SEBI Regi. No.: INZ000008037)

Corporate Office: Monarch House, Nr. Ishwar Bhuvan, Commerce Cross Rd,
Navrangpura, Ahmedabad-380014 T: 079-26666500

Registered Office : Unit No. 803-804A, 8th Floor, X-Change Plaza, Block No. 53,
Zone 5, Road- 5E, Gift City, Gandhinagar -382355, Gujarat T: 079-26666500

E : helpdesk@mncigroup.com | W : www.mncigroup.com |    