

Collective Investors Complaints Data for April 2024

Initial Public Offer (IPO) and Further Public Offer (FPO) including Offer for Sale (OFS), Rights Issue, Qualified Institutions Placement (QIP), Preferential Issue, SME IPO and FPO including OFS, Buyback of Securities, Delisting of Equity Shares, Substantial Acquisitions of Shares and Takeovers, Public issue of debt securities, Public issue of non-convertible redeemable preference shares, Private placement of debt securities and non-convertible redeemable preference shares, private placements of Municipal debt securities, Public offer of units by REITs, Public offer of units by InvITs and private placement of units by InvITs proposed to be listed:

Sr No.	Received from	Pending as at the end of the last month	Received during the month	Resolved during the month*	Total pending during the month #	Pending complaints > 1 month	Average Resolution time^ (in days)
1	Directly from Investors	NIL	1	NIL	1	NIL	NIL
2	SEBI (SCORES)	NIL	NIL	NIL	NIL	NIL	Not Applicable
3	Stock Exchanges	NIL	NIL	NIL	NIL	NIL	Not Applicable
4	Other Sources (If any)	NIL	NIL	NIL	NIL	NIL	Not Applicable
5	Grand Total	NIL	NIL	NIL	NIL	NIL	Not Applicable

Trend of monthly disposal of complaints (for 5 months on rolling basis):

Sr No.	Month	Carried forward from previous Month	Received during the month	Resolved during the month *	Pending at the end of the month #
1	December 2023	NIL	NIL	NIL	NIL
2	January 2024	NIL	NIL	NIL	NIL
3	February 2024	NIL	1	1	NIL
4	March 2024	NIL	NIL	NIL	NIL
5	April 2024	NIL	1	NIL	NIL
	Grand Total	-	2	1	-

Trend of annual (Calendar year) disposal of complaints (for 5 years on rolling basis):

Sr No.	Year	Carried forward from Previous Year	Received during the particular year	Resolved during the particular Year	Pending at the end of Year
1	2019	NIL	NIL	NIL	NIL
2	2020	NIL	NIL	NIL	NIL
3	2021	NIL	NIL	NIL	NIL
4	2022	NIL	NIL	NIL	NIL
5	2023	NIL	NIL	NIL	NIL
	Grand Total	-	-	-	-

^ Average resolution time is the sum total of time taken to resolve each complaint in days, in the current month divided by number of complaints resolved in the current month.

* Inclusive of complaints of previous months resolved in the current month.

Inclusive of complaints pending as on the last day of the month.