

Category wise Investor Complaint Data for May 2024

1. Initial Public Offer (IPO) and Further Public Offer (FPO) including Offer for Sale (OFS):

| Sr No. | Received from | Pending as at the end of the last month | Received during the month | Resolved during the month* | Total pending during the month # | Pending complaints > 1 month | Average Resolution time^ (in days) |
|--------|----------------------------|---|---------------------------------|----------------------------------|----------------------------------|------------------------------------|--|
| 1 | Directly from Investors | NIL | NIL | NIL | NIL | NIL | Not Applicable |
| 2 | SEBI (SCORES) | 1 | NIL | NIL | 1 | 1 | NIL |
| 3 | Stock Exchanges | NIL | NIL | NIL | NIL | NIL | Not Applicable |
| 4 | Other Sources (If any) | NIL | NIL | NIL | NIL | NIL | Not Applicable |
| 5 | Grand Total | NIL | NIL | NIL | NIL | NIL | Not Applicable |

Trend of monthly disposal of complaints (for 5 months on rolling basis):

| Sr No. | Month | Carried forward from previous Month | Received during the month | Resolved during the month * | Pending at the end of the month # |
|--------|---------------|---|---------------------------|-----------------------------|-----------------------------------|
| 1 | January 2024 | NIL | NIL | NIL | NIL |
| 2 | February 2024 | NIL | NIL | NIL | NIL |
| 3 | March 2024 | NIL | NIL | NIL | NIL |
| 4 | April 2024 | NIL | 1 | NIL | 1 |
| 5 | May 2024 | 1 | NIL | NIL | 1 |
| | Grand Total | - | - | - | - |

Trend of annual (Calendar year) disposal of complaints (for 5 years on rolling basis):

| Sr No. | Year | Carried forward from Previous Year | Received during the particular year | Resolved during the particular Year | Pending at the end of Year |
|--------|-------------|------------------------------------|-------------------------------------|-------------------------------------|----------------------------|
| 1 | 2019 | NIL | NIL | NIL | NIL |
| 2 | 2020 | NIL | NIL | NIL | NIL |
| 3 | 2021 | NIL | NIL | NIL | NIL |
| 4 | 2022 | NIL | NIL | NIL | NIL |
| 5 | 2023 | NIL | NIL | NIL | NIL |
| | Grand Total | - | - | - | - |

[^] Average resolution time is the sum total of time taken to resolve each complaint in days, in the current month divided by number of complaints resolved in the current month.

^{*} Inclusive of complaints of previous months resolved in the current month.



2. Right Issue:

| Sr No. | Received from | Pending as at the end of the last month | Received during the month | Resolved during the month* | Total pending during the month # | Pending complaints > 1 month | Average Resolution time^ (in days) |
|--------|----------------------------|---|---------------------------------|----------------------------------|----------------------------------|------------------------------------|--|
| 1 | Directly from Investors | NIL | NIL | NIL | NIL | NIL | Not Applicable |
| 2 | SEBI (SCORES) | NIL | NIL | NIL | NIL | NIL | Not Applicable |
| 3 | Stock Exchanges | NIL | NIL | NIL | NIL | NIL | Not Applicable |
| 4 | Other Sources (If any) | NIL | NIL | NIL | NIL | NIL | Not Applicable |
| 5 | Grand Total | NIL | NIL | NIL | NIL | NIL | Not Applicable |

Trend of monthly disposal of complaints (for 5 months on rolling basis):

| Sr No. | Month | Carried forward from previous Month | Received during the month | Resolved during the month * | Pending at the end of the month # |
|--------|---------------|-------------------------------------|---------------------------|-----------------------------|-----------------------------------|
| 1 | January 2024 | NIL | NIL | NIL | NIL |
| 2 | February 2024 | NIL | NIL | NIL | NIL |
| 3 | March 2024 | NIL | NIL | NIL | NIL |
| 4 | April 2024 | NIL | NIL | NIL | NIL |
| 5 | May 2024 | NIL | NIL | NIL | NIL |
| | Grand Total | - | - | - | - |

Trend of annual (Calendar year) disposal of complaints (for 5 years on rolling basis):

| Sr No. | Year | Carried forward from Previous Year | Received during the particular year | Resolved during the particular Year | Pending at the end of Year |
|--------|-------------|------------------------------------|-------------------------------------|-------------------------------------|----------------------------|
| 1 | 2019 | NIL | NIL | NIL | NIL |
| 2 | 2020 | NIL | NIL | NIL | NIL |
| 3 | 2021 | NIL | NIL | NIL | NIL |
| 4 | 2022 | NIL | NIL | NIL | NIL |
| 5 | 2023 | NIL | NIL | NIL | NIL |
| | Grand Total | - | - | - | - |

[^] Average resolution time is the sum total of time taken to resolve each complaint in days, in the current month divided by number of complaints resolved in the current month.

^{*} Inclusive of complaints of previous months resolved in the current month.



3. Qualified Institutional Placement (QIPs):

| Sr No. | Received from | Pending as at the end of the last month | Received during the month | Resolved during the month* | Total pending during the month # | Pending complaints > 1 month | Average Resolution time^ (in days) |
|--------|----------------------------|---|---------------------------------|----------------------------------|---|------------------------------------|--|
| 1 | Directly from Investors | NIL | NIL | NIL | NIL | NIL | Not Applicable |
| 2 | SEBI (SCORES) | NIL | NIL | NIL | NIL | NIL | Not Applicable |
| 3 | Stock Exchanges | NIL | NIL | NIL | NIL | NIL | Not Applicable |
| 4 | Other Sources (If any) | NIL | NIL | NIL | NIL | NIL | Not Applicable |
| 5 | Grand Total | NIL | NIL | NIL | NIL | NIL | Not Applicable |

Trend of monthly disposal of complaints (for 5 months on rolling basis):

| Sr No. | Month | Carried forward from previous Month | Received during the month | Resolved during the month * | Pending at the end of the month # |
|--------|---------------|---|---------------------------|-----------------------------|-----------------------------------|
| 1 | January 2024 | NIL | NIL | NIL | NIL |
| 2 | February 2024 | NIL | NIL | NIL | NIL |
| 3 | March 2024 | NIL | NIL | NIL | NIL |
| 4 | April 2024 | NIL | NIL | NIL | NIL |
| 5 | May 2024 | NIL | NIL | NIL | NIL |
| | Grand Total | - | - | - | - |

Trend of annual (Calendar year) disposal of complaints (for 5 years on rolling basis):

| Sr No. | Year | Carried forward from Previous Year | Received during the particular year | Resolved during the particular Year | Pending at the end of Year |
|--------|-------------|------------------------------------|-------------------------------------|-------------------------------------|----------------------------|
| 1 | 2019 | NIL | NIL | NIL | NIL |
| 2 | 2020 | NIL | NIL | NIL | NIL |
| 3 | 2021 | NIL | NIL | NIL | NIL |
| 4 | 2022 | NIL | NIL | NIL | NIL |
| 5 | 2023 | NIL | NIL | NIL | NIL |
| | Grand Total | - | - | - | - |

[^] Average resolution time is the sum total of time taken to resolve each complaint in days, in the current month divided by number of complaints resolved in the current month.

^{*} Inclusive of complaints of previous months resolved in the current month.



4. Preferential Issue:

| Sr No. | Received from | Pending as at the end of the last month | Received during the month | Resolved during the month* | Total pending during the month # | Pending complaints > 1 month | Average Resolution time^ (in days) |
|--------|----------------------------|---|---------------------------------|----------------------------------|----------------------------------|------------------------------------|--|
| 1 | Directly from Investors | NIL | NIL | NIL | NIL | NIL | Not Applicable |
| 2 | SEBI (SCORES) | NIL | NIL | NIL | NIL | NIL | Not Applicable |
| 3 | Stock Exchanges | NIL | NIL | NIL | NIL | NIL | Not Applicable |
| 4 | Other Sources (If any) | NIL | NIL | NIL | NIL | NIL | Not Applicable |
| 5 | Grand Total | NIL | NIL | NIL | NIL | NIL | Not Applicable |

Trend of monthly disposal of complaints (for 5 months on rolling basis):

| Sr No. | Month | Carried forward from previous Month | Received during the month | Resolved during the month * | Pending at the end of the month # |
|--------|---------------|-------------------------------------|---------------------------|-----------------------------|-----------------------------------|
| 1 | January 2024 | NIL | NIL | NIL | NIL |
| 2 | February 2024 | NIL | NIL | NIL | NIL |
| 3 | March 2024 | NIL | NIL | NIL | NIL |
| 4 | April 2024 | NIL | NIL | NIL | NIL |
| 5 | May 2024 | NIL | NIL | NIL | NIL |
| | Grand Total | - | - | - | - |

Trend of annual (Calendar year) disposal of complaints (for 5 years on rolling basis):

| Sr No. | Year | Carried forward from Previous Year | Received during the particular year | Resolved during the particular Year | Pending at the end of Year |
|--------|-------------|------------------------------------|-------------------------------------|-------------------------------------|----------------------------|
| 1 | 2019 | NIL | NIL | NIL | NIL |
| 2 | 2020 | NIL | NIL | NIL | NIL |
| 3 | 2021 | NIL | NIL | NIL | NIL |
| 4 | 2022 | NIL | NIL | NIL | NIL |
| 5 | 2023 | NIL | NIL | NIL | NIL |
| | Grand Total | - | - | - | - |

[^] Average resolution time is the sum total of time taken to resolve each complaint in days, in the current month divided by number of complaints resolved in the current month.

^{*} Inclusive of complaints of previous months resolved in the current month.



5. SME IPO and FPO including OFS:

| Sr No. | Received from | Pending as at the end of the last month | Received during the month | Resolved during the month* | Total pending during the month # | Pending complaints > 1 month | Average Resolution time^ (in days) |
|--------|----------------------------|---|---------------------------------|----------------------------------|---|------------------------------------|--|
| 1 | Directly from Investors | NIL | NIL | NIL | NIL | NIL | Not Applicable |
| 2 | SEBI (SCORES) | NIL | NIL | NIL | NIL | NIL | Not Applicable |
| 3 | Stock Exchanges | NIL | NIL | NIL | NIL | NIL | Not Applicable |
| 4 | Other Sources (If any) | NIL | NIL | NIL | NIL | NIL | Not Applicable |
| 5 | Grand Total | NIL | NIL | NIL | NIL | NIL | Not Applicable |

Trend of monthly disposal of complaints (for 5 months on rolling basis):

| Sr No. | Month | Carried forward from previous Month | Received during the month | Resolved during the month * | Pending at the end of the month # |
|--------|---------------|-------------------------------------|---------------------------|-----------------------------|-----------------------------------|
| 1 | January 2024 | NIL | NIL | NIL | NIL |
| 2 | February 2024 | NIL | 1 | 1 | NIL |
| 3 | March 2024 | NIL | NIL | NIL | NIL |
| 4 | April 2024 | NIL | NIL | NIL | NIL |
| 5 | May 2024 | NIL | NIL | NIL | NIL |
| | Grand Total | - | 1 | 1 | - |

Trend of annual (Calendar year) disposal of complaints (for 5 years on rolling basis):

| Sr No. | Year | Carried forward from Previous Year | Received during the particular year | Resolved during the particular Year | Pending at the end of Year |
|--------|-------------|------------------------------------|-------------------------------------|-------------------------------------|----------------------------|
| 1 | 2019 | NIL | NIL | NIL | NIL |
| 2 | 2020 | NIL | NIL | NIL | NIL |
| 3 | 2021 | NIL | NIL | NIL | NIL |
| 4 | 2022 | NIL | NIL | NIL | NIL |
| 5 | 2023 | NIL | NIL | NIL | NIL |
| | Grand Total | - | - | - | - |

[^] Average resolution time is the sum total of time taken to resolve each complaint in days, in the current month divided by number of complaints resolved in the current month.

^{*} Inclusive of complaints of previous months resolved in the current month.



6. Buyback of Securities:

| Sr No. | Received from | Pending as at the end of the last month | Received during the month | Resolved during the month* | Total pending during the month # | Pending complaints > 1 month | Average Resolution time^ (in days) |
|--------|----------------------------|---|---------------------------------|----------------------------------|----------------------------------|------------------------------------|--|
| 1 | Directly from Investors | NIL | NIL | NIL | NIL | NIL | Not Applicable |
| 2 | SEBI (SCORES) | NIL | NIL | NIL | NIL | NIL | Not Applicable |
| 3 | Stock Exchanges | NIL | NIL | NIL | NIL | NIL | Not Applicable |
| 4 | Other Sources (If any) | NIL | NIL | NIL | NIL | NIL | Not Applicable |
| 5 | Grand Total | NIL | NIL | NIL | NIL | NIL | Not Applicable |

Trend of monthly disposal of complaints (for 5 months on rolling basis):

| Sr No. | Month | Carried forward from previous Month | Received during the month | Resolved during the month * | Pending at the end of the month # |
|--------|---------------|-------------------------------------|---------------------------|-----------------------------|-----------------------------------|
| 1 | January 2024 | NIL | NIL | NIL | NIL |
| 2 | February 2024 | NIL | NIL | NIL | NIL |
| 3 | March 2024 | NIL | NIL | NIL | NIL |
| 4 | April 2024 | NIL | NIL | NIL | NIL |
| 5 | May 2024 | NIL | NIL | NIL | NIL |
| | Grand Total | - | - | - | - |

Trend of annual (Calendar year) disposal of complaints (for 5 years on rolling basis):

| Sr No. | Year | Carried forward from Previous Year | Received during the particular year | Resolved during the particular Year | Pending at the end of Year |
|--------|-------------|------------------------------------|-------------------------------------|-------------------------------------|----------------------------|
| 1 | 2019 | NIL | NIL | NIL | NIL |
| 2 | 2020 | NIL | NIL | NIL | NIL |
| 3 | 2021 | NIL | NIL | NIL | NIL |
| 4 | 2022 | NIL | NIL | NIL | NIL |
| 5 | 2023 | NIL | NIL | NIL | NIL |
| | Grand Total | - | - | - | - |

[^] Average resolution time is the sum total of time taken to resolve each complaint in days, in the current month divided by number of complaints resolved in the current month.

^{*} Inclusive of complaints of previous months resolved in the current month.



7. Delisting of Securities:

| Sr No. | Received from | Pending as at the end of the last month | Received during the month | Resolved during the month* | Total pending during the month # | Pending complaints > 1 month | Average Resolution time^ (in days) |
|--------|----------------------------|---|---------------------------------|----------------------------------|----------------------------------|------------------------------------|--|
| 1 | Directly from Investors | NIL | NIL | NIL | NIL | NIL | Not Applicable |
| 2 | SEBI (SCORES) | NIL | NIL | NIL | NIL | NIL | Not Applicable |
| 3 | Stock Exchanges | NIL | NIL | NIL | NIL | NIL | Not Applicable |
| 4 | Other Sources (If any) | NIL | NIL | NIL | NIL | NIL | Not Applicable |
| 5 | Grand Total | NIL | NIL | NIL | NIL | NIL | Not Applicable |

Trend of monthly disposal of complaints (for 5 months on rolling basis):

| Sr No. | Month | Carried forward from previous Month | Received during the month | Resolved during the month * | Pending at the end of the month # |
|--------|---------------|-------------------------------------|---------------------------|-----------------------------|-----------------------------------|
| 1 | January 2024 | NIL | NIL | NIL | NIL |
| 2 | February 2024 | NIL | NIL | NIL | NIL |
| 3 | March 2024 | NIL | NIL | NIL | NIL |
| 4 | April 2024 | NIL | NIL | NIL | NIL |
| 5 | May 2024 | NIL | NIL | NIL | NIL |
| | Grand Total | - | - | - | - |

Trend of annual (Calendar year) disposal of complaints (for 5 years on rolling basis):

| Sr No. | Year | Carried forward from Previous Year | Received during the particular year | Resolved during the particular Year | Pending at the end of Year |
|--------|-------------|------------------------------------|-------------------------------------|-------------------------------------|----------------------------|
| 1 | 2019 | NIL | NIL | NIL | NIL |
| 2 | 2020 | NIL | NIL | NIL | NIL |
| 3 | 2021 | NIL | NIL | NIL | NIL |
| 4 | 2022 | NIL | NIL | NIL | NIL |
| 5 | 2023 | NIL | NIL | NIL | NIL |
| | Grand Total | - | - | - | - |

[^] Average resolution time is the sum total of time taken to resolve each complaint in days, in the current month divided by number of complaints resolved in the current month.

^{*} Inclusive of complaints of previous months resolved in the current month.



8. Substantial Acquisition of Shares & Takeovers:

| Sr No. | Received from | Pending as at the end of the last month | Received during the month | Resolved during the month* | Total pending during the month # | Pending complaints > 1 month | Average Resolution time^ (in days) |
|--------|----------------------------|---|---------------------------------|----------------------------------|----------------------------------|------------------------------------|--|
| 1 | Directly from Investors | NIL | NIL | NIL | NIL | NIL | Not Applicable |
| 2 | SEBI (SCORES) | NIL | NIL | NIL | NIL | NIL | Not Applicable |
| 3 | Stock Exchanges | NIL | NIL | NIL | NIL | NIL | Not Applicable |
| 4 | Other Sources (If any) | NIL | NIL | NIL | NIL | NIL | Not Applicable |
| 5 | Grand Total | NIL | NIL | NIL | NIL | NIL | Not Applicable |

Trend of monthly disposal of complaints (for 5 months on rolling basis):

| Sr No. | Month | Carried forward from previous Month | Received during the month | Resolved during the month * | Pending at the end of the month # |
|--------|---------------|---|---------------------------|-----------------------------|-----------------------------------|
| 1 | January 2024 | NIL | NIL | NIL | NIL |
| 2 | February 2024 | NIL | NIL | NIL | NIL |
| 3 | March 2024 | NIL | NIL | NIL | NIL |
| 4 | April 2024 | NIL | NIL | NIL | NIL |
| 5 | May 2024 | NIL | NIL | NIL | NIL |
| | Grand Total | - | - | - | - |

Trend of annual (Calendar year) disposal of complaints (for 5 years on rolling basis):

| Sr No. | Year | Carried forward from Previous Year | Received during the particular year | Resolved during the particular Year | Pending at the end of Year |
|--------|-------------|------------------------------------|-------------------------------------|-------------------------------------|----------------------------|
| 1 | 2019 | NIL | NIL | NIL | NIL |
| 2 | 2020 | NIL | NIL | NIL | NIL |
| 3 | 2021 | NIL | NIL | NIL | NIL |
| 4 | 2022 | NIL | NIL | NIL | NIL |
| 5 | 2023 | NIL | NIL | NIL | NIL |
| | Grand Total | - | - | - | - |

[^] Average resolution time is the sum total of time taken to resolve each complaint in days, in the current month divided by number of complaints resolved in the current month.

^{*} Inclusive of complaints of previous months resolved in the current month.



9. Public issue of debt securities:

| Sr No. | Received from | Pending as at the end of the last month | Received during the month | Resolved during the month* | Total pending during the month # | Pending complaints > 1 month | Average Resolution time^ (in days) |
|--------|----------------------------|---|---------------------------------|----------------------------------|----------------------------------|------------------------------------|--|
| 1 | Directly from Investors | NIL | NIL | NIL | NIL | NIL | Not Applicable |
| 2 | SEBI (SCORES) | NIL | NIL | NIL | NIL | NIL | Not Applicable |
| 3 | Stock Exchanges | NIL | NIL | NIL | NIL | NIL | Not Applicable |
| 4 | Other Sources (If any) | NIL | NIL | NIL | NIL | NIL | Not Applicable |
| 5 | Grand Total | NIL | NIL | NIL | NIL | NIL | Not Applicable |

Trend of monthly disposal of complaints for the Financial Year 2024-25:

| Sr No. | Month | Carried forward from previous Month | Received during the month | Resolved during the month * | Pending at the end of the month # |
|--------|-------------|---|---------------------------|-----------------------------|--------------------------------------|
| 1 | April 2024 | NIL | NIL | NIL | NIL |
| 2 | May 2024 | NIL | NIL | NIL | NIL |
| | Grand Total | - | - | - | - |

Trend of annual (Financial Year) disposal of complaints (for 3 years on rolling basis):

| Sr No. | Year | Carried forward from Previous Year | Received during the particular year | Resolved during the particular Year | Pending at the end of Year |
|--------|-------------|------------------------------------|-------------------------------------|-------------------------------------|----------------------------|
| 1 | 2021-22 | NIL | NIL | NIL | NIL |
| 2 | 2022-23 | NIL | NIL | NIL | NIL |
| 3 | 2023-24 | NIL | NIL | NIL | NIL |
| | Grand Total | - | - | - | - |

[^] Average resolution time is the sum total of time taken to resolve each complaint in days, in the current month divided by number of complaints resolved in the current month.

^{*} Inclusive of complaints of previous months resolved in the current month.



10. Public issue of non-convertible redeemable preference shares:

| Sr No. | Received from | Pending as at the end of the last month | Received during the month | Resolved during the month* | Total pending during the month # | Pending complaints > 1 month | Average Resolution time^ (in days) |
|--------|----------------------------|---|---------------------------------|----------------------------------|----------------------------------|------------------------------------|--|
| 1 | Directly from Investors | NIL | NIL | NIL | NIL | NIL | Not Applicable |
| 2 | SEBI (SCORES) | NIL | NIL | NIL | NIL | NIL | Not Applicable |
| 3 | Stock Exchanges | NIL | NIL | NIL | NIL | NIL | Not Applicable |
| 4 | Other Sources (If any) | NIL | NIL | NIL | NIL | NIL | Not Applicable |
| 5 | Grand Total | NIL | NIL | NIL | NIL | NIL | Not Applicable |

Trend of monthly disposal of complaints for the Financial Year 2024-25:

:

| Sr No. | Month | Carried forward from previous Month | Received during the month | Resolved during the month * | Pending at the end of the month # |
|--------|-------------|---|---------------------------|--------------------------------|-----------------------------------|
| 1 | April 2024 | NIL | NIL | NIL | NIL |
| 2 | May 2024 | NIL | NIL | NIL | NIL |
| | Grand Total | - | - | - | - |

Trend of annual (Financial Year) disposal of complaints (for 3 years on rolling basis):

| Sr No. | Year | Carried forward from Previous Year | Received during the particular year | Resolved during the particular Year | Pending at the end of Year |
|--------|-------------|------------------------------------|-------------------------------------|-------------------------------------|----------------------------|
| 1 | 2021-22 | NIL | NIL | NIL | NIL |
| 2 | 2022-23 | NIL | NIL | NIL | NIL |
| 3 | 2023-24 | NIL | NIL | NIL | NIL |
| | Grand Total | - | - | - | - |

[^] Average resolution time is the sum total of time taken to resolve each complaint in days, in the current month divided by number of complaints resolved in the current month.

^{*} Inclusive of complaints of previous months resolved in the current month.



11. Private placement of debt securities and non-convertible redeemable preference shares:

| Sr No. | Received from | Pending as at the end of the last month | Received during the month | Resolved during the month* | Total pending during the month # | Pending complaints > 1 month | Average Resolution time^ (in days) |
|--------|----------------------------|---|---------------------------------|----------------------------------|----------------------------------|------------------------------------|--|
| 1 | Directly from Investors | NIL | NIL | NIL | NIL | NIL | Not Applicable |
| 2 | SEBI (SCORES) | NIL | NIL | NIL | NIL | NIL | Not Applicable |
| 3 | Stock Exchanges | NIL | NIL | NIL | NIL | NIL | Not Applicable |
| 4 | Other Sources (If any) | NIL | NIL | NIL | NIL | NIL | Not Applicable |
| 5 | Grand Total | NIL | NIL | NIL | NIL | NIL | Not Applicable |

Trend of monthly disposal of complaints for the Financial Year 2024-25:

| Sr No. | Month | Carried forward from previous Month | Received during the month | Resolved during the month * | Pending at the end of the month # |
|--------|-------------|---|---------------------------|--------------------------------|-----------------------------------|
| 1 | April 2024 | NIL | NIL | NIL | NIL |
| 2 | May 2024 | NIL | NIL | NIL | NIL |
| | Grand Total | - | - | - | - |

Trend of annual (Financial Year) disposal of complaints (for 3 years on rolling basis):

| Sr No. | Year | Carried forward from Previous Year | Received during the particular year | Resolved during the particular Year | Pending at the end of Year |
|--------|-------------|------------------------------------|-------------------------------------|-------------------------------------|----------------------------|
| 1 | 2021-22 | NIL | NIL | NIL | NIL |
| 2 | 2022-23 | NIL | NIL | NIL | NIL |
| 3 | 2023-24 | NIL | NIL | NIL | NIL |
| | Grand Total | - | - | - | - |

[^] Average resolution time is the sum total of time taken to resolve each complaint in days, in the current month divided by number of complaints resolved in the current month.

^{*} Inclusive of complaints of previous months resolved in the current month.

[#] Inclusive of complaints pending as on the last day of the month.



12. Private Placement of Municipal Debt Securities:

| Sr No. | Received from | Carried forward from previous month | Received during the month | Resolved during the month* | Pending at the end of month # | Pending complaints > 3 month | Average Resolution time^ (in days) |
|--------|----------------------------|-------------------------------------|---------------------------------|----------------------------------|-------------------------------------|------------------------------------|--|
| 1 | Directly from Investors | NIL | NIL | NIL | NIL | NIL | Not Applicable |
| 2 | SEBI (SCORES) | NIL | NIL | NIL | NIL | NIL | Not Applicable |
| 3 | Stock Exchanges | NIL | NIL | NIL | NIL | NIL | Not Applicable |
| 4 | Other Sources (If any) | NIL | NIL | NIL | NIL | NIL | Not Applicable |
| 5 | Grand Total | NIL | NIL | NIL | NIL | NIL | Not Applicable |

Trend of monthly disposal of complaints for the Financial Year 2024-25:

| Sr No. | Month | Carried forward from previous Month | Received during the month | Resolved during the month * | Pending at the end of the month # |
|--------|-------------|-------------------------------------|---------------------------|--------------------------------|-----------------------------------|
| 1 | April 2024 | NIL | NIL | NIL | NIL |
| 2 | May 2024 | NIL | NIL | NIL | NIL |
| | Grand Total | - | - | - | - |

Trend of annual disposal of complaints (for 3 years):

| Sr No. | Year | Carried forward from Previous Year | Received during the particular year | Resolved during the particular Year | Pending at the end of Year |
|--------|-------------|------------------------------------|-------------------------------------|-------------------------------------|----------------------------|
| 1 | 2021-22 | NIL | NIL | NIL | NIL |
| 2 | 2022-23 | NIL | NIL | NIL | NIL |
| 3 | 2023-24 | NIL | NIL | NIL | NIL |
| | Grand Total | - | - | - | - |

[^] Average resolution time is the sum total of time taken to resolve each complaint in days, in the current month divided by number of complaints resolved in the current month.

^{*} Inclusive of complaints of previous months resolved in the current month.



13. Public offer of units by REITs:

| Sr No. | Received from | Carried forward from previous month | Received during the month | Resolved during the month* | Pending at the end of month # | Pending complaints > 3 month | Average Resolution time^ (in days) |
|--------|----------------------------|-------------------------------------|---------------------------------|----------------------------------|-------------------------------------|------------------------------------|--|
| 1 | Directly from Investors | NIL | NIL | NIL | NIL | NIL | Not Applicable |
| 2 | SEBI (SCORES) | NIL | NIL | NIL | NIL | NIL | Not Applicable |
| 3 | Stock Exchanges | NIL | NIL | NIL | NIL | NIL | Not Applicable |
| 4 | Other Sources (If any) | NIL | NIL | NIL | NIL | NIL | Not Applicable |
| 5 | Grand Total | NIL | NIL | NIL | NIL | NIL | Not Applicable |

Trend of monthly disposal of complaints for the Financial Year 2024-25:

| Sr No. | Month | Carried forward from previous Month | Received during the month | Resolved during the month * | Pending at the end of the month # |
|--------|-------------|---|---------------------------|-----------------------------|--------------------------------------|
| 1 | April 2024 | NIL | NIL | NIL | NIL |
| 2 | May 2024 | NIL | NIL | NIL | NIL |
| | Grand Total | - | - | - | - |

Trend of annual disposal of complaints (for 3 years):

| Sr No. | Year | Carried forward from Previous Year | Received during the particular year | Resolved during the particular Year | Pending at the end of Year |
|--------|-------------|------------------------------------|-------------------------------------|-------------------------------------|----------------------------|
| 1 | 2021-22 | NIL | NIL | NIL | NIL |
| 2 | 2022-23 | NIL | NIL | NIL | NIL |
| 3 | 2023-24 | NIL | NIL | NIL | NIL |
| | Grand Total | - | - | - | - |

[^] Average resolution time is the sum total of time taken to resolve each complaint in days, in the current month divided by number of complaints resolved in the current month.

^{*} Inclusive of complaints of previous months resolved in the current month.

[#] Inclusive of complaints pending as on the last day of the month.



14. Public offer of units by InvITs:

| Sr No. | Received from | Carried forward from previous month | Received during the month | Resolved during the month* | Pending at the end of month # | Pending complaints > 3 month | Average Resolution time^ (in days) |
|--------|----------------------------|---|---------------------------------|----------------------------------|-------------------------------------|------------------------------------|--|
| 1 | Directly from Investors | NIL | NIL | NIL | NIL | NIL | Not Applicable |
| 2 | SEBI (SCORES) | NIL | NIL | NIL | NIL | NIL | Not Applicable |
| 3 | Stock Exchanges | NIL | NIL | NIL | NIL | NIL | Not Applicable |
| 4 | Other Sources (If any) | NIL | NIL | NIL | NIL | NIL | Not Applicable |
| 5 | Grand Total | NIL | NIL | NIL | NIL | NIL | Not Applicable |

Trend of monthly disposal of complaints for the Financial Year 2024-25:

| Sr No. | Month | Carried forward from previous Month | Received during the month | Resolved during the month * | Pending at the end of the month # |
|--------|-------------|---|---------------------------|-----------------------------|-----------------------------------|
| 1 | April 2024 | NIL | NIL | NIL | NIL |
| 2 | May 2024 | NIL | NIL | NIL | NIL |
| | Grand Total | - | - | - | - |

Trend of annual disposal of complaints (for 3 years):

| Sr No. | Year | Carried forward from Previous Year | Received during the particular year | Resolved during the particular Year | Pending at the end of Year |
|--------|-------------|------------------------------------|-------------------------------------|-------------------------------------|----------------------------|
| 1 | 2021-22 | NIL | NIL | NIL | NIL |
| 2 | 2022-23 | NIL | NIL | NIL | NIL |
| 3 | 2023-24 | NIL | NIL | NIL | NIL |
| | Grand Total | - | - | - | - |

[^] Average resolution time is the sum total of time taken to resolve each complaint in days, in the current month divided by number of complaints resolved in the current month.

^{*} Inclusive of complaints of previous months resolved in the current month.

[#] Inclusive of complaints pending as on the last day of the month.



15. Private Placement of units by InvITs proposed to be listed:

| Sr No. | Received from | Carried forward from previous month | Received during the month | Resolved during the month* | Pending at the end of month # | Pending complaints > 3 month | Average Resolution time^ (in days) |
|--------|----------------------------|-------------------------------------|---------------------------------|----------------------------------|-------------------------------------|------------------------------------|--|
| 1 | Directly from Investors | NIL | NIL | NIL | NIL | NIL | Not Applicable |
| 2 | SEBI (SCORES) | NIL | NIL | NIL | NIL | NIL | Not Applicable |
| 3 | Stock Exchanges | NIL | NIL | NIL | NIL | NIL | Not Applicable |
| 4 | Other Sources (If any) | NIL | NIL | NIL | NIL | NIL | Not Applicable |
| 5 | Grand Total | NIL | NIL | NIL | NIL | NIL | Not Applicable |

Trend of monthly disposal of complaints for the Financial Year 2024-25:

| Sr No. | Month | Carried forward from previous Month | Received during the month | Resolved during the month * | Pending at the end of the month # |
|--------|-------------|---|---------------------------|-----------------------------|--------------------------------------|
| 1 | April 2024 | NIL | NIL | NIL | NIL |
| 2 | May 2024 | NIL | NIL | NIL | NIL |
| | Grand Total | - | - | - | - |

Trend of annual disposal of complaints (for 3 years):

| Sr No. | Year | Carried forward from Previous Year | Received during the particular year | Resolved during the particular Year | Pending at the end of Year |
|--------|-------------|------------------------------------|-------------------------------------|-------------------------------------|----------------------------|
| 1 | 2021-22 | NIL | NIL | NIL | NIL |
| 2 | 2022-23 | NIL | NIL | NIL | NIL |
| 3 | 2023-24 | NIL | NIL | NIL | NIL |
| | Grand Total | - | - | - | - |

[^] Average resolution time is the sum total of time taken to resolve each complaint in days, in the current month divided by number of complaints resolved in the current month.

^{*} Inclusive of complaints of previous months resolved in the current month.